



# **David Schramm Symposium:**

## **NEW VIEWS OF THE UNIVERSE**

### **Recent Studies of Ultra High Energy Cosmic Rays**

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**(regular KICP Visitor)**

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**Current Situation:** (re- UHECR)

The **“Conservative”** AGN  
(Black Hole!) Bottom-Up  
scenario has observational  
Problems that are difficult  
to dismiss.

The Top-Down (Big Bang  
Relics) is also constrained  
but is still flexible enough to  
be quite viable.

**NEED MORE DATA!!**

Note: Top-Down(Big Bang Relics)  
⇒ TOE ( New Fundamental Physics)

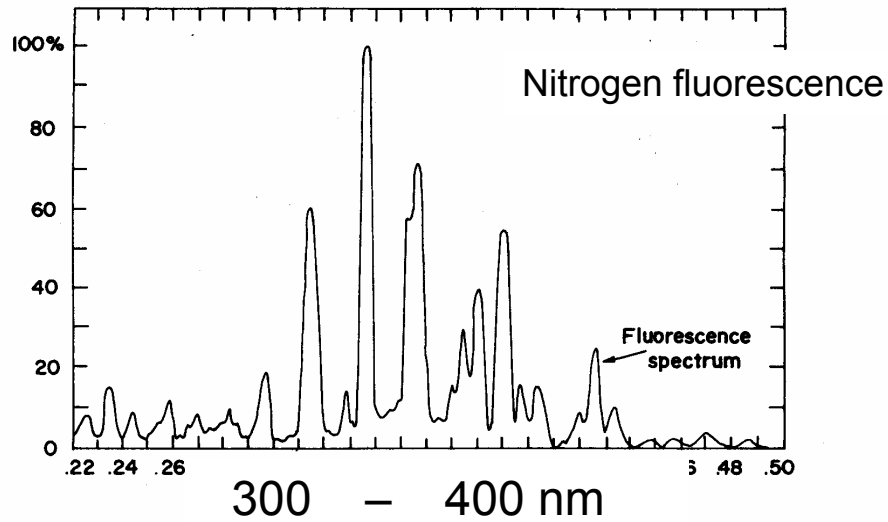
# Outline:

- **Present Status of Detectors - Auger**
- **The Issues:**
  - i **Changes to Hadronic Interaction Models**
    - inferences for mass composition
  - ii **Energy Spectrum**
    - is there a GZK-effect?
  - iii **Arrival Directions**
    - Clusters? BL Lac associations?
- **Summary**

## Exposure and Event Numbers from various Instruments

	km <sup>2</sup> sr year	Approximate rate > 10 EeV	
	AΩt	N	(km <sup>2</sup> sr year) <sup>-1</sup> rate
<b>AGASA:</b> closed in January 2004: Scintillator array	<b>1600</b>	<b>827</b>	<b>0.52</b>
<b>HiRes I: monocular</b> Fluorescence Detector (HiRes II: monocular)	<b>~5000</b>	<b>403</b>	<b>0.08</b>
<b>HiRes: stereo (PRELIMINARY)</b>	<b>~2500</b>	<b>~500</b>	<b>0.20</b>
<b>Yakutsk:</b> Scintillator plus air-Cherenkov light	<b>~900</b>	<b>171</b>	<b>0.19</b>
<b>Auger:</b> data taking since Jan 2004 Fluorescence plus water-Cherenkov	<b>1750</b>	<b>444</b>	<b>0.25</b>

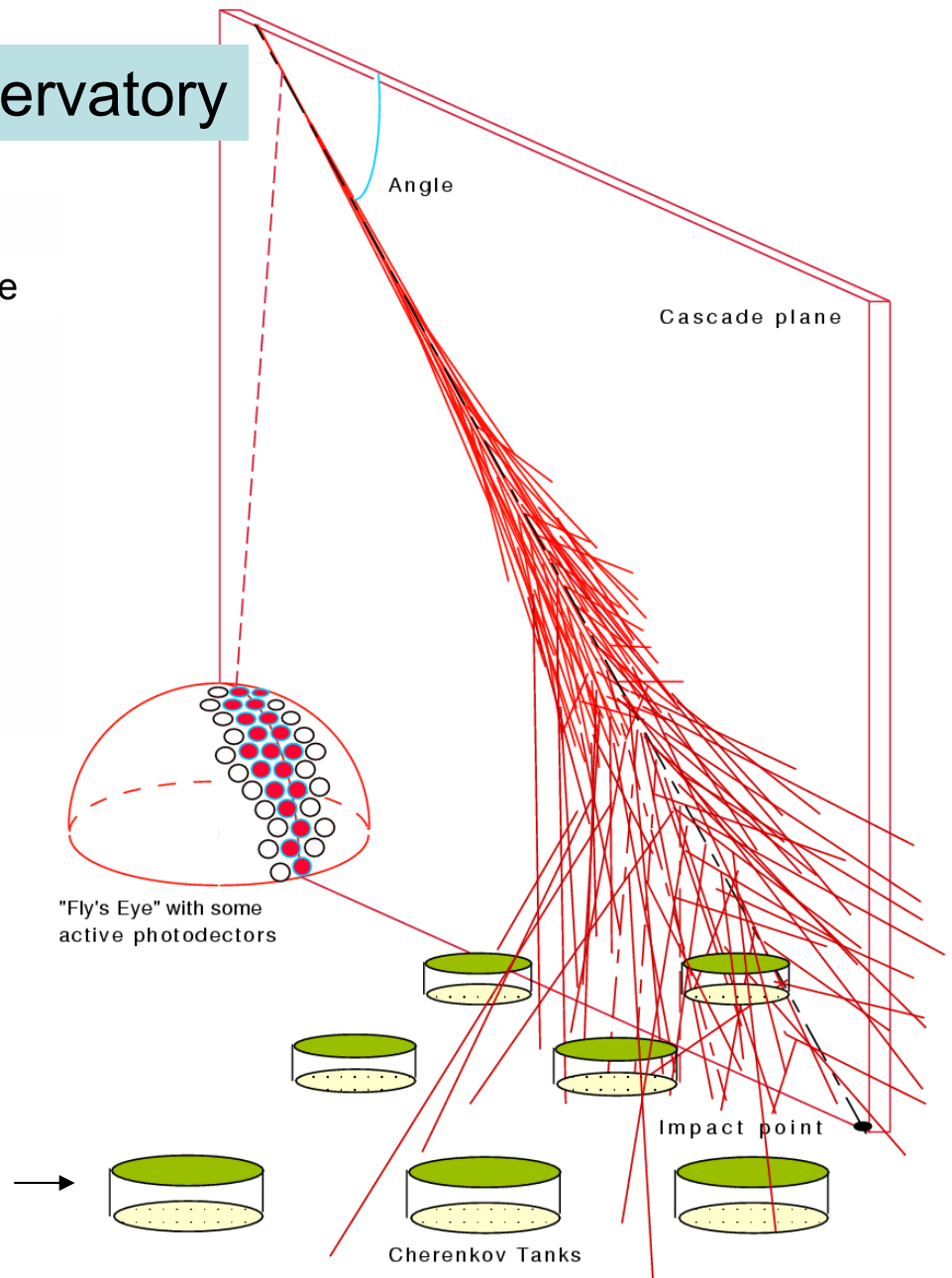
# Hybrid Approach of Auger Observatory



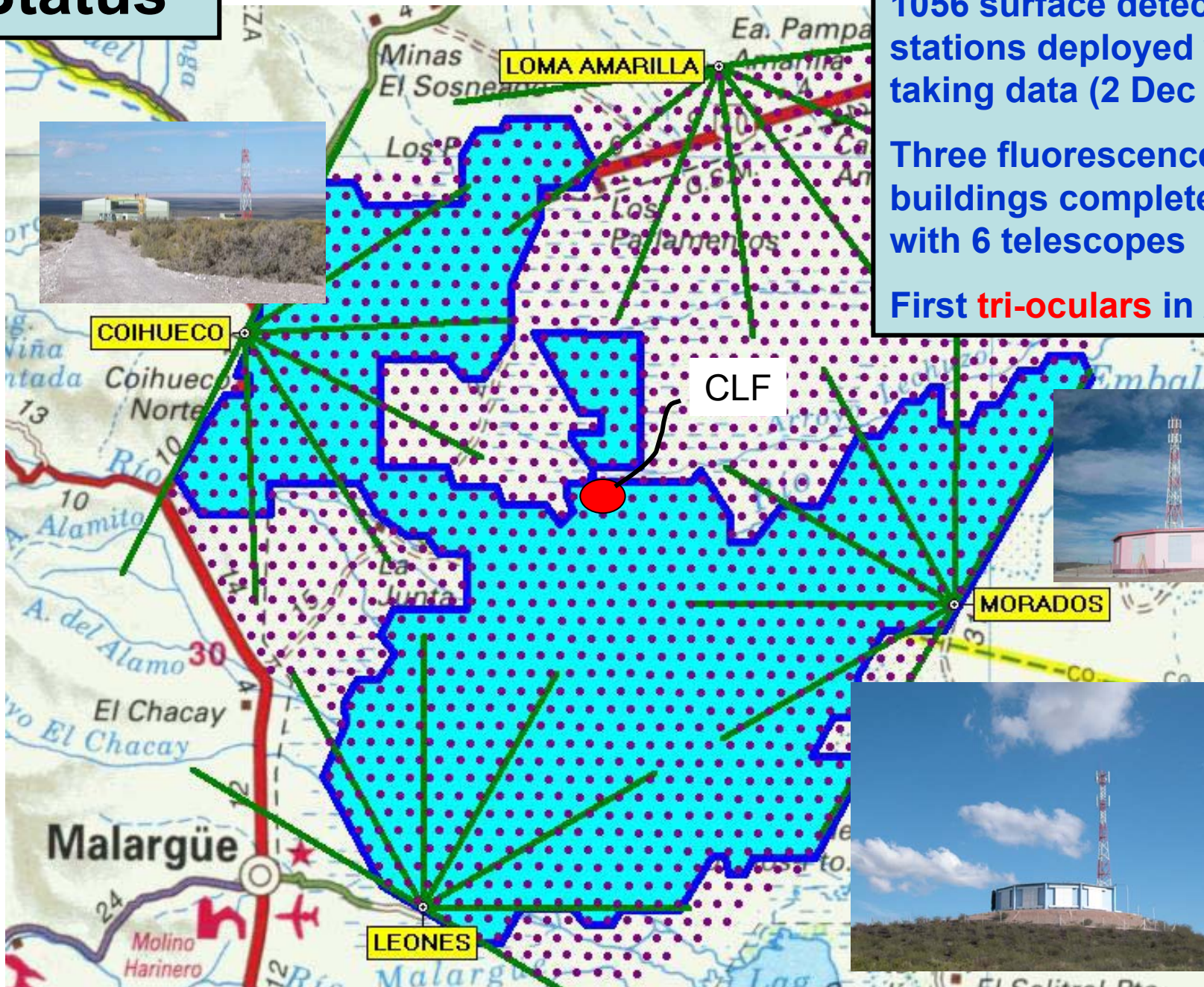
Fluorescence in UV →

**AND**

Water-Cherenkov detectors  
respond to muons,  $e^\pm$ ,  $\gamma$



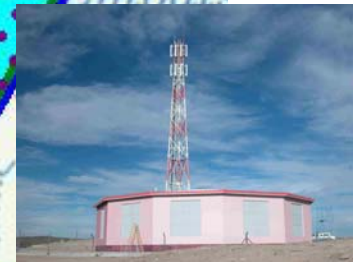
# Status



1056 surface detector stations deployed with 919 taking data (2 Dec 2005)

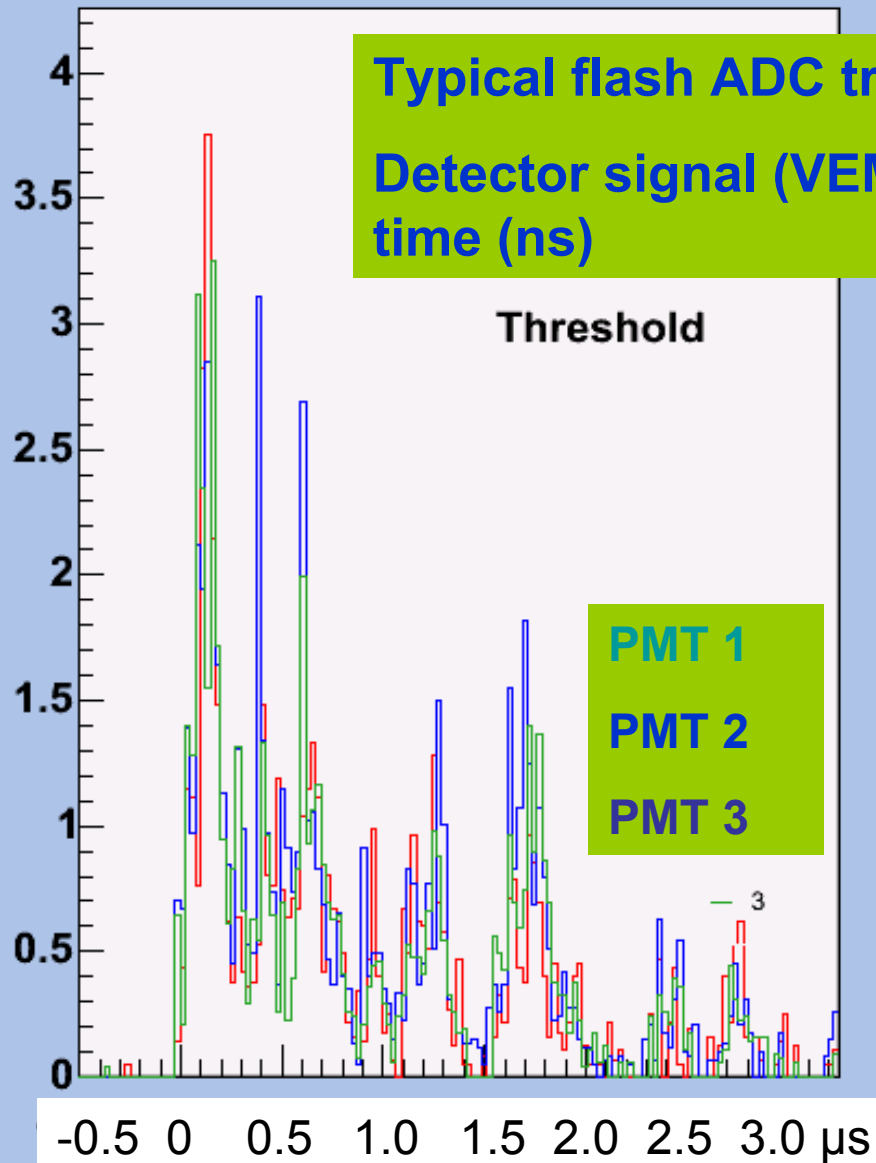
Three fluorescence buildings complete each with 6 telescopes

First **tri-oculars** in August

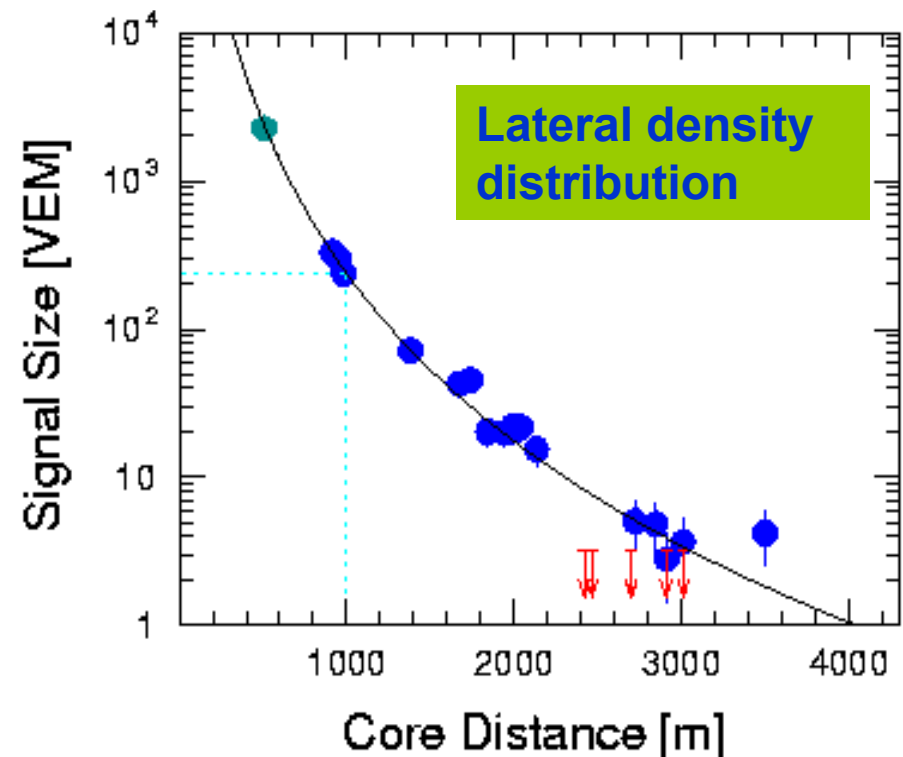
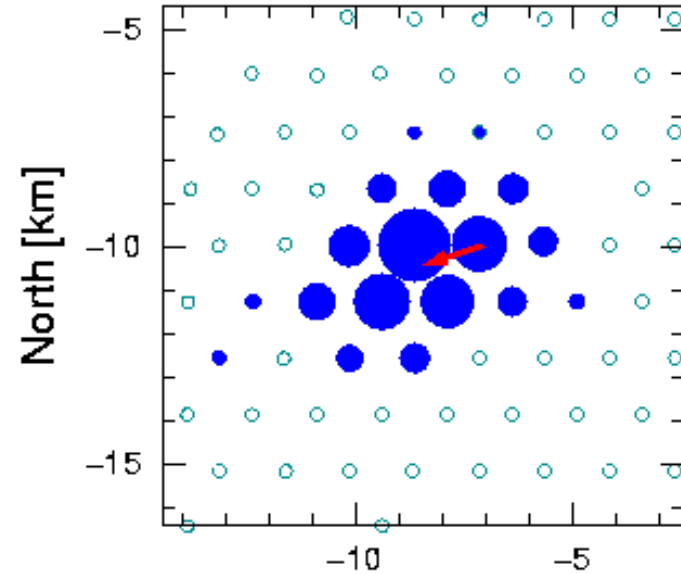


$\theta \sim 48^\circ, \sim 70 \text{ EeV}$

18 detectors triggered



ID 762238





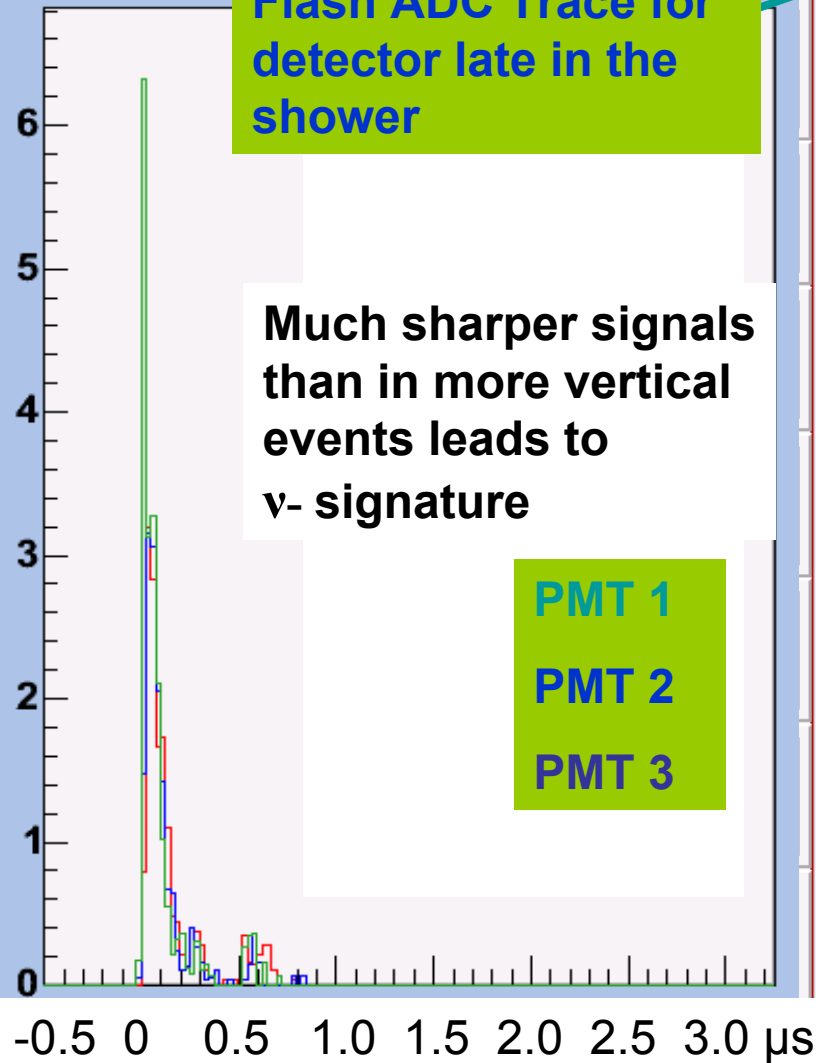
$\theta \sim 60^\circ$ ,  $\sim 86 \text{ EeV}$

35 detectors triggered

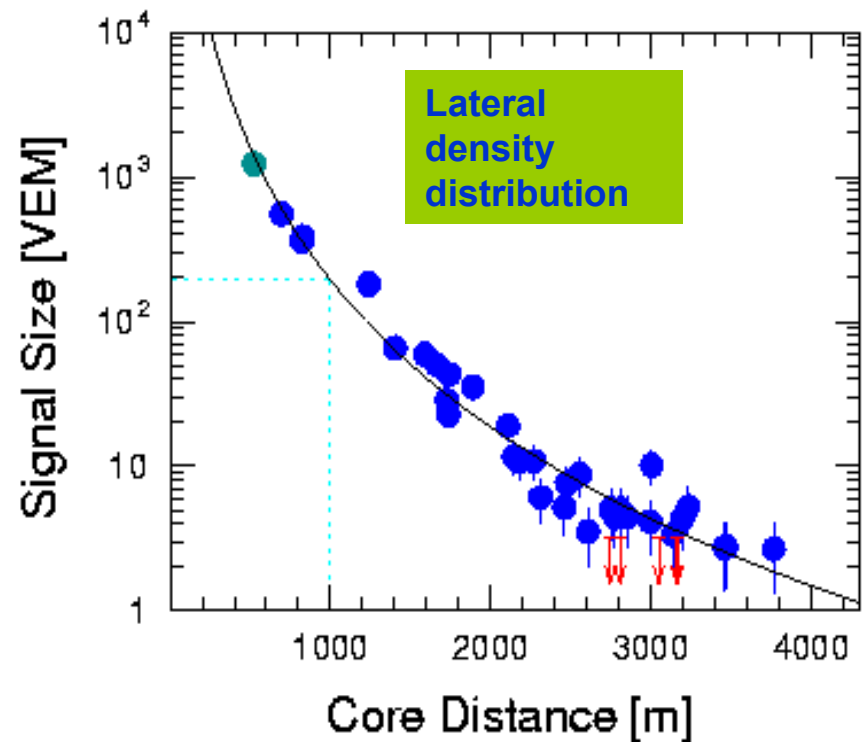
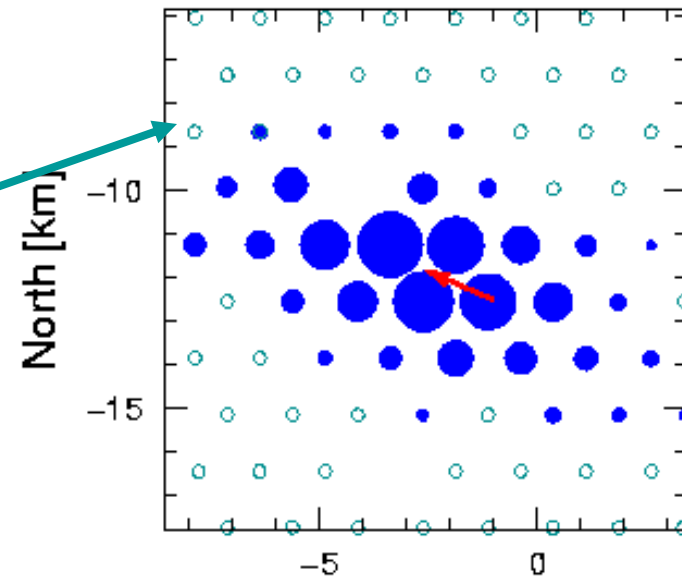
Flash ADC Trace for  
detector late in the  
shower

Much sharper signals  
than in more vertical  
events leads to  
 $\nu$ - signature

PMT 1  
PMT 2  
PMT 3

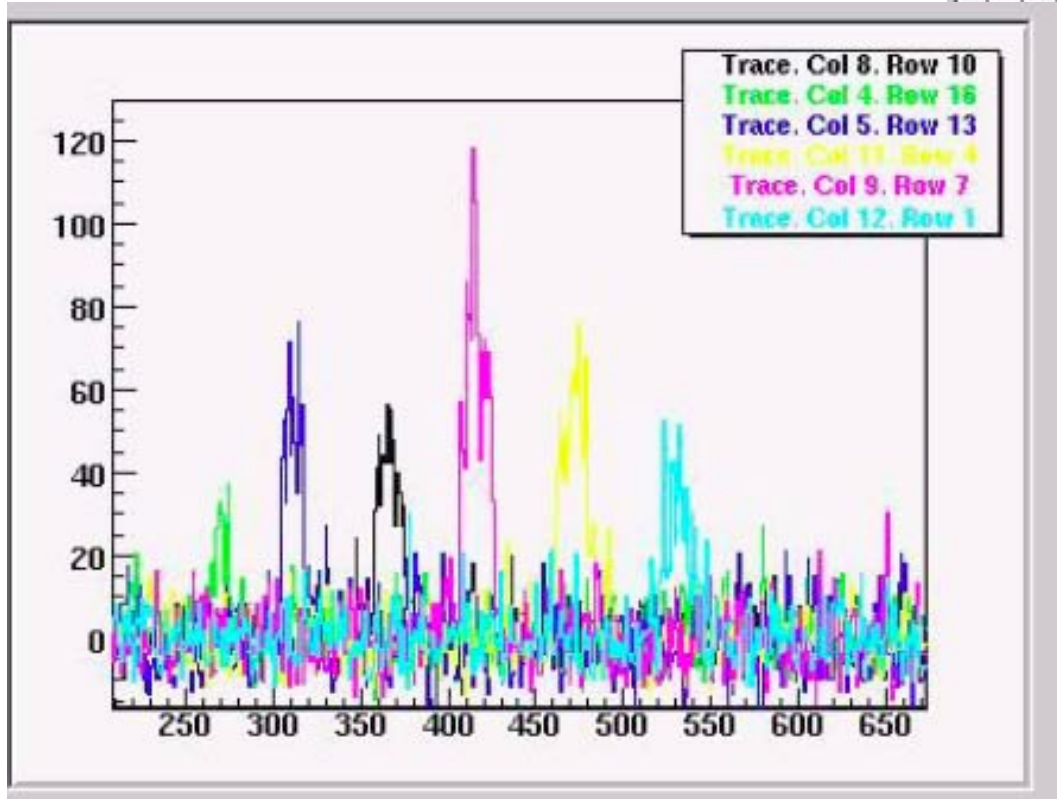
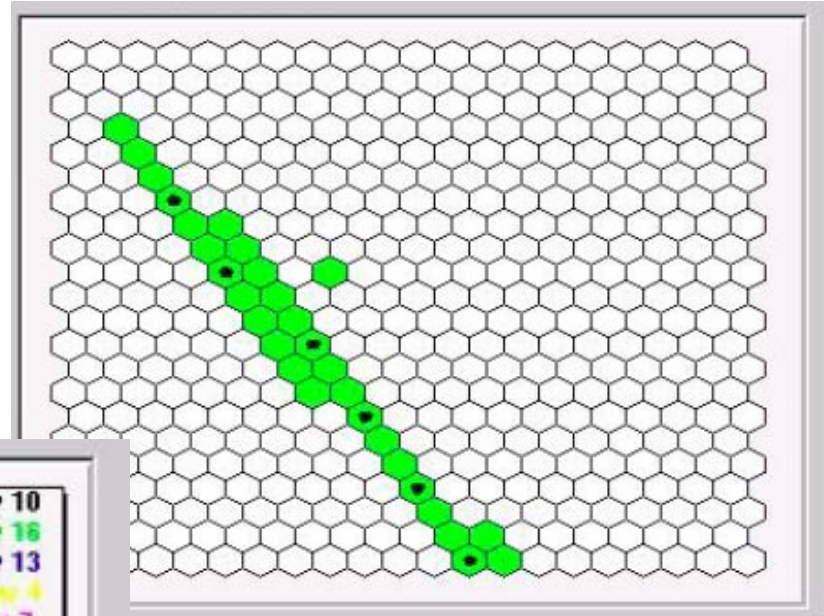


ID 787469



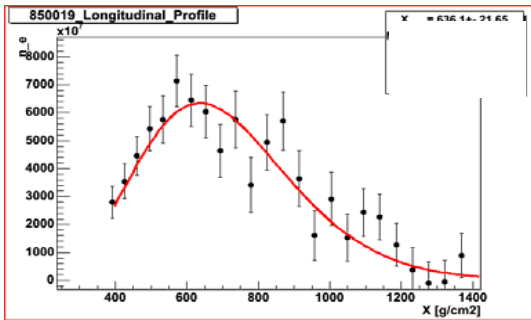
# FD reconstruction

Signal and timing  
Direction & energy

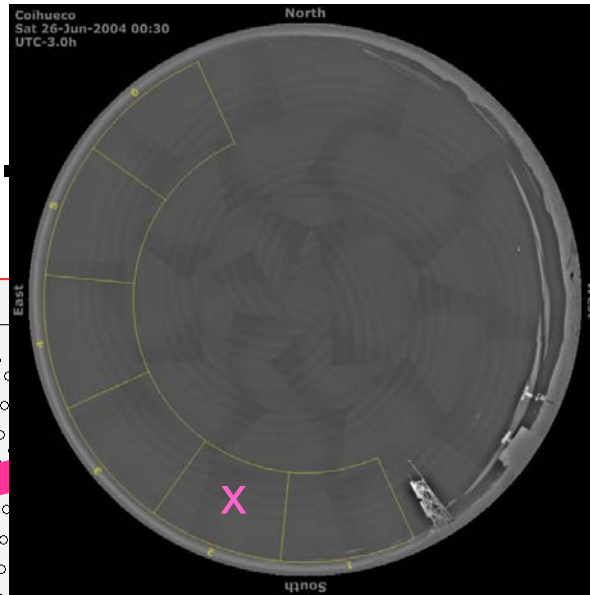
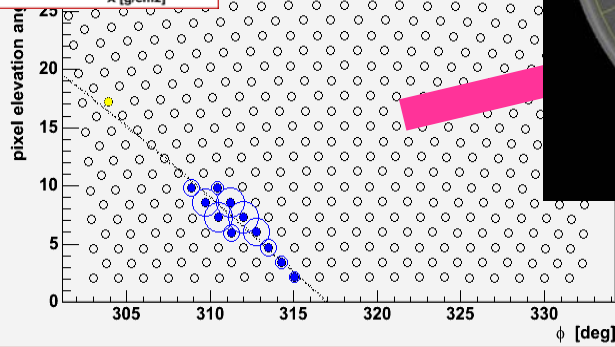


**Pixel geometry  
shower-detector plane**

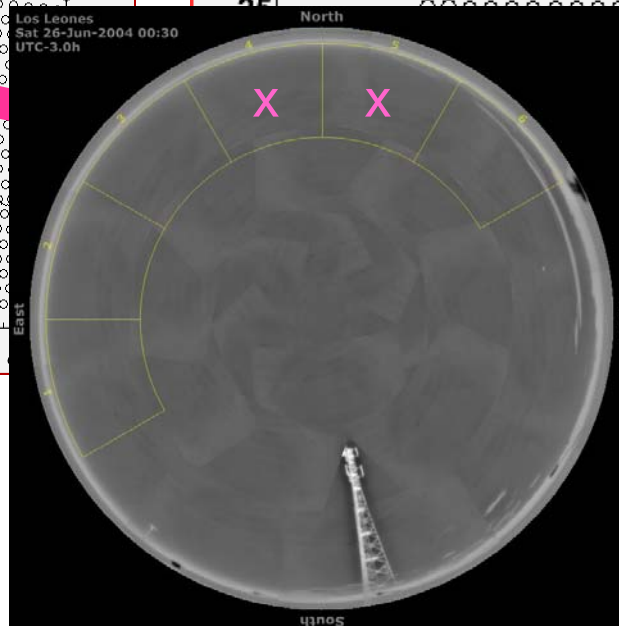
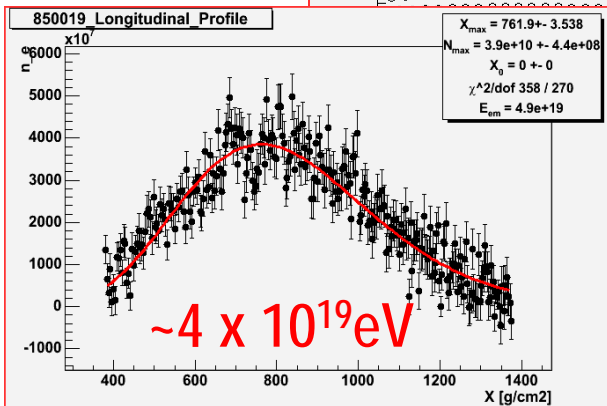
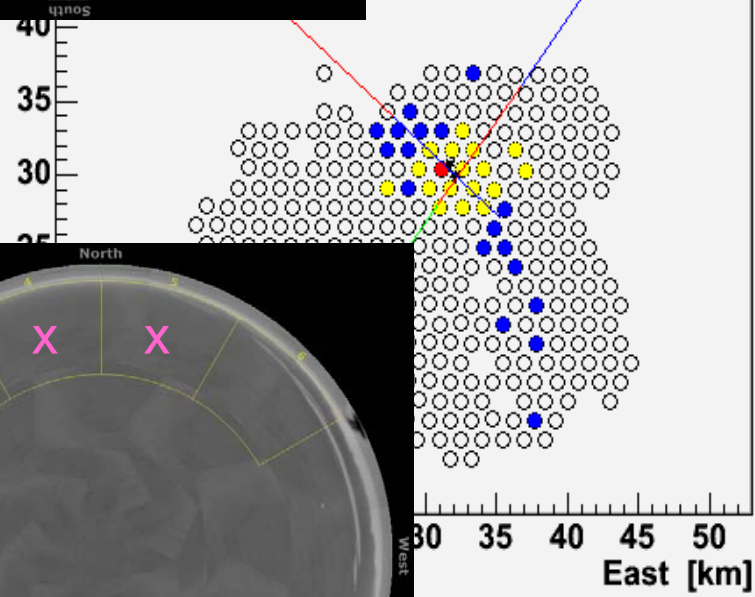
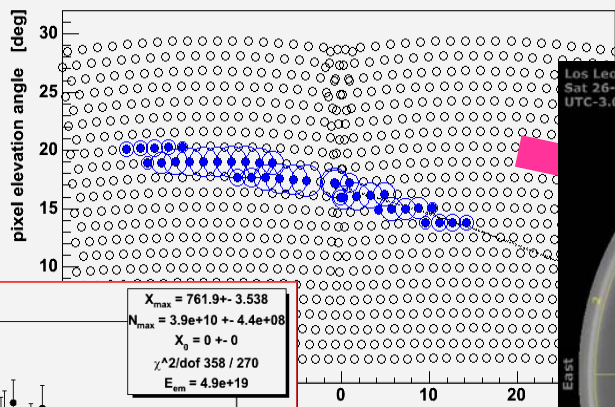
# Stereo



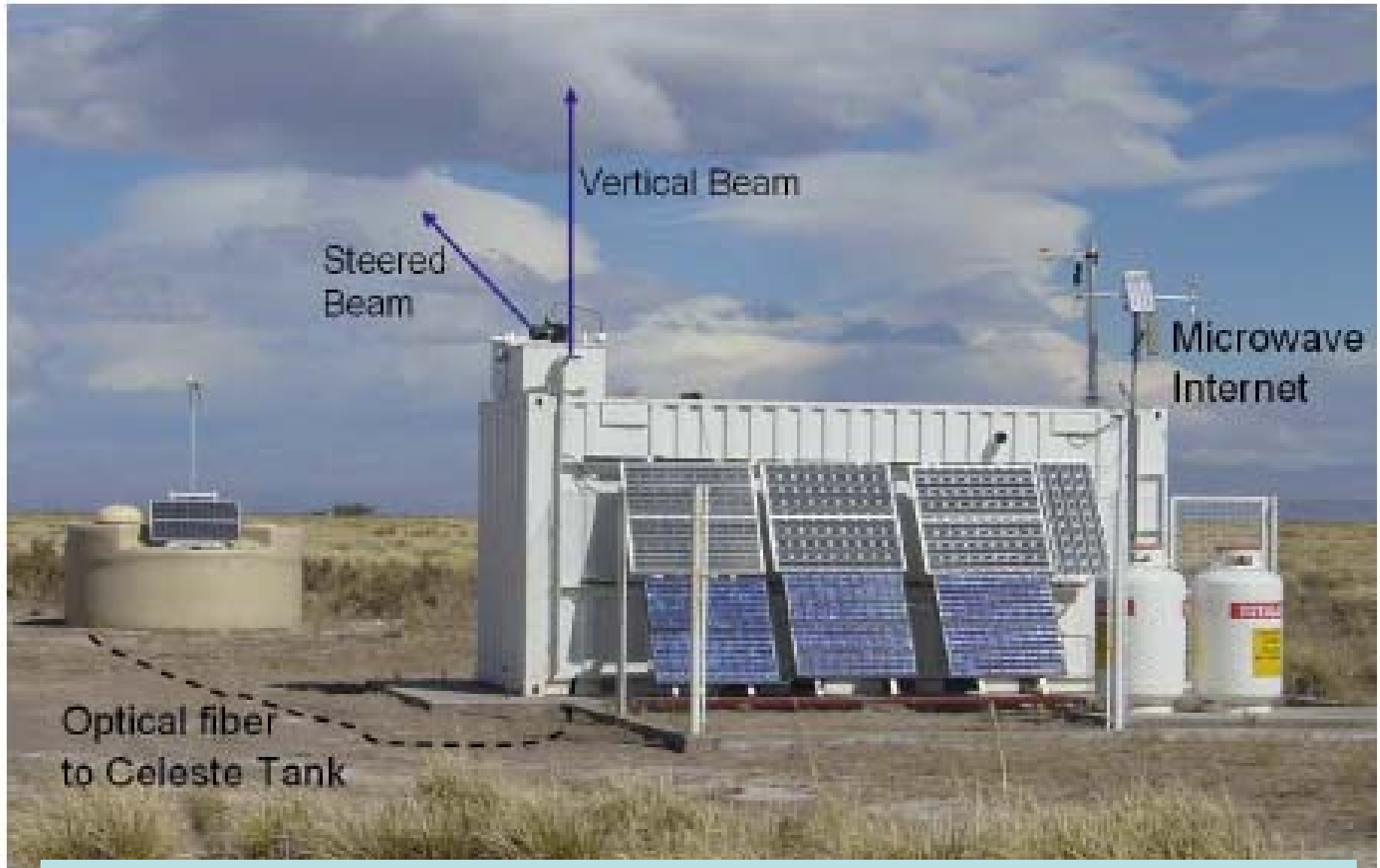
7 Eye Id: 4



850019

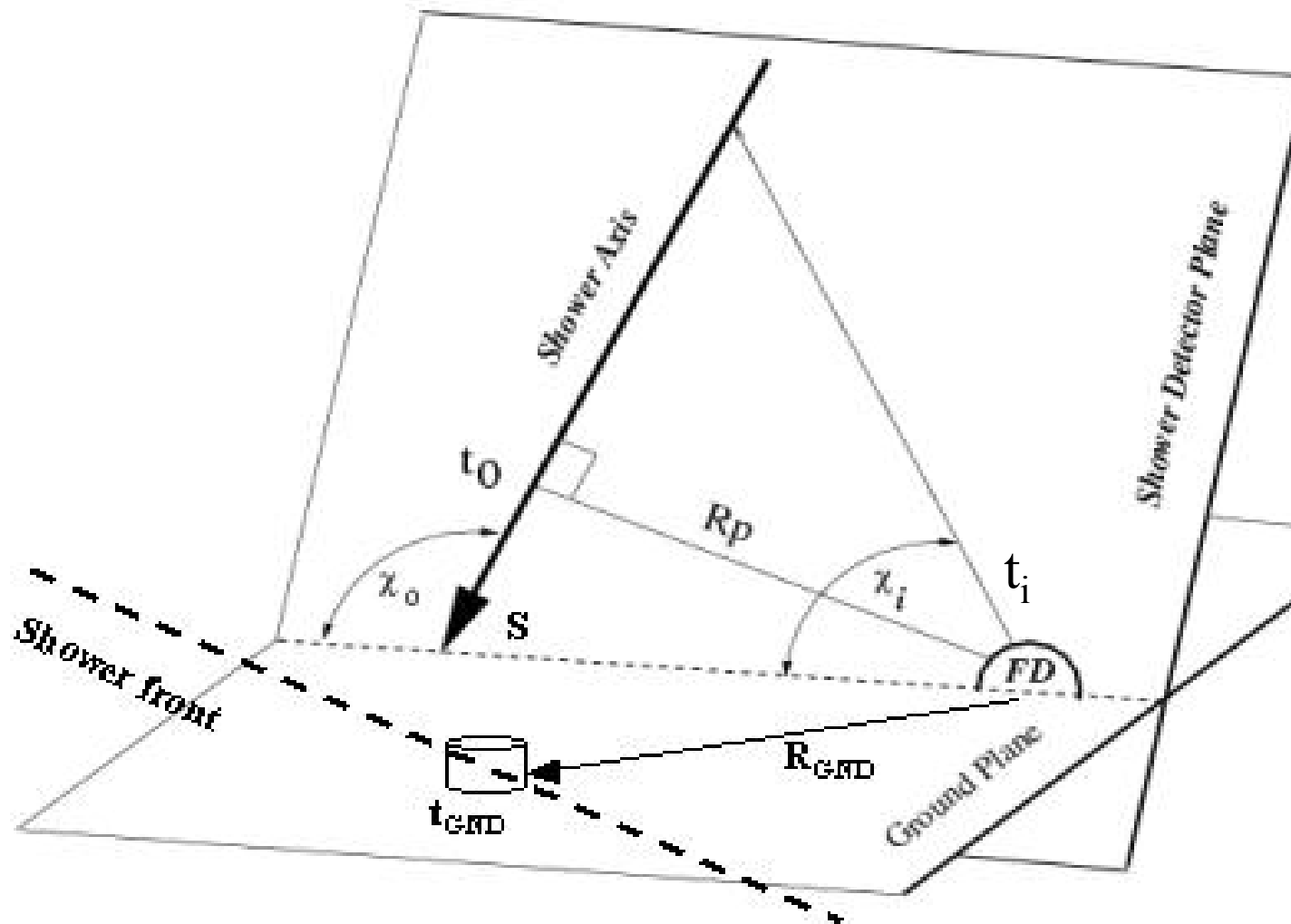


## The Central Laser Facility of the Pierre Auger Observatory

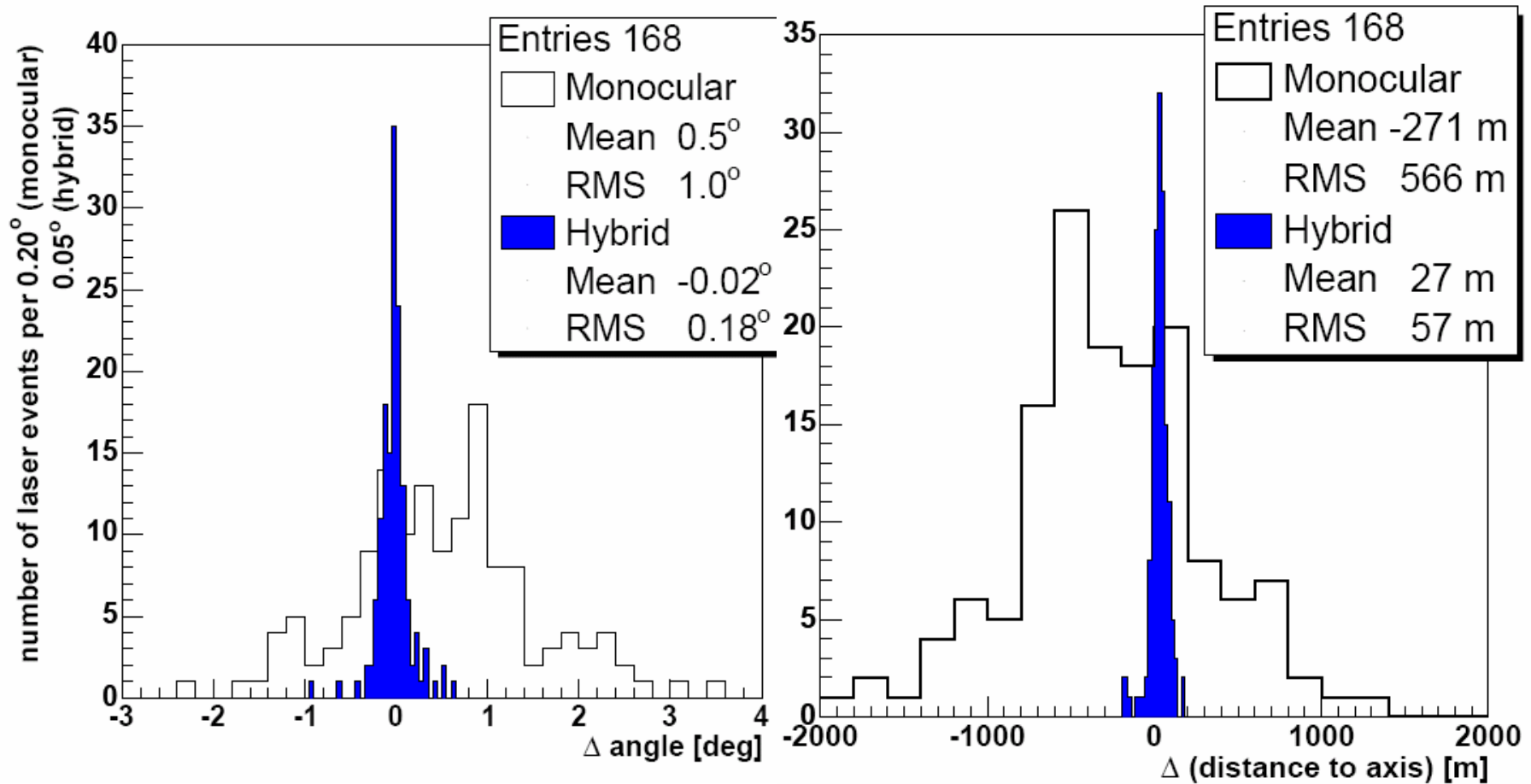


**355 nm, frequency tripled, YAG laser, giving  $< 7$  mJ per pulse: GZK energy**

# Geometrical Reconstruction



# Angular and Spatial Resolution from Central Laser Facility



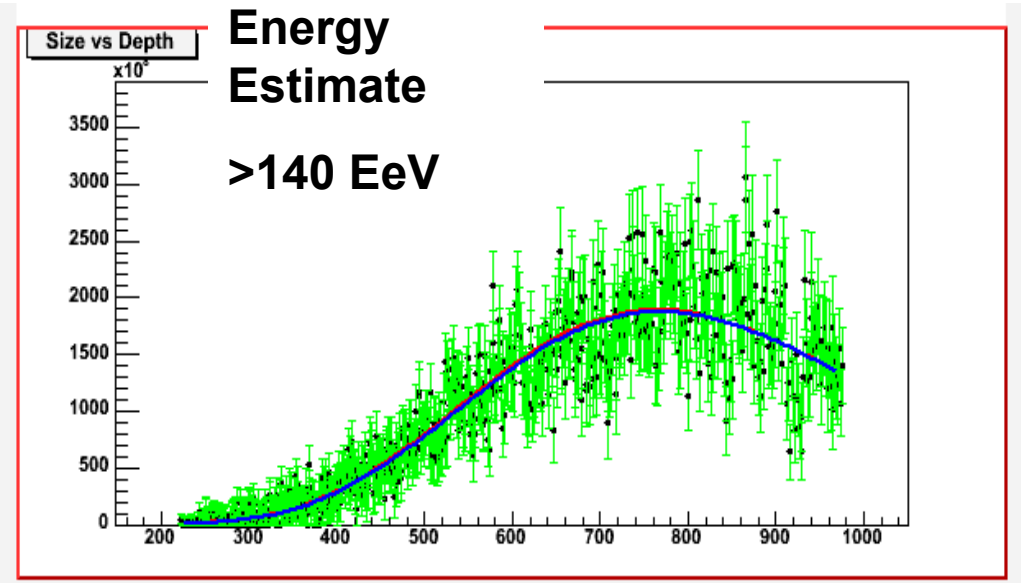
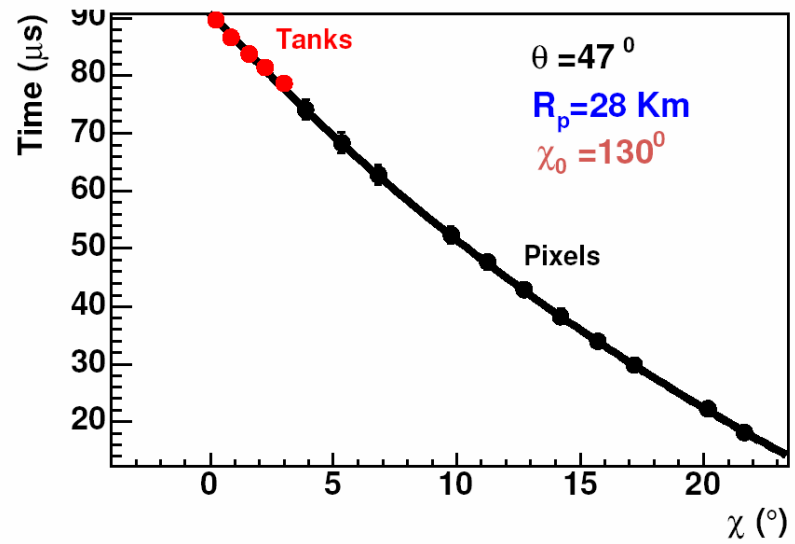
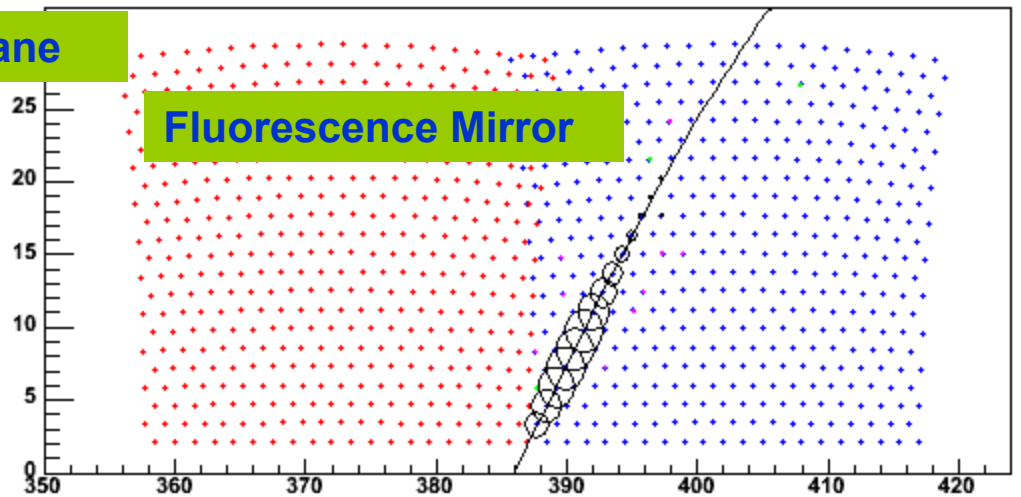
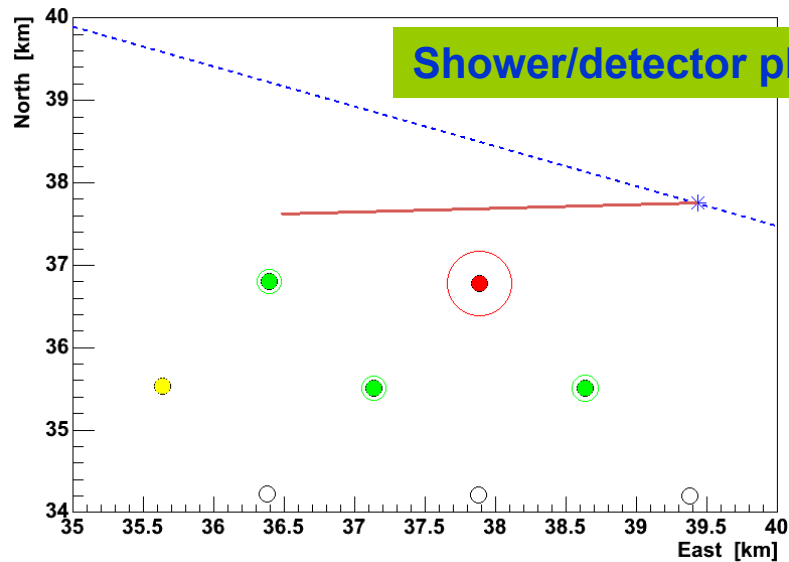
Angle in laser beam /FD detector plane

Laser position – Hybrid and FD only (m)

**Mono/hybrid rms 1.0°/0.18°**

**Mono/hybrid rms 566 m/57 m**

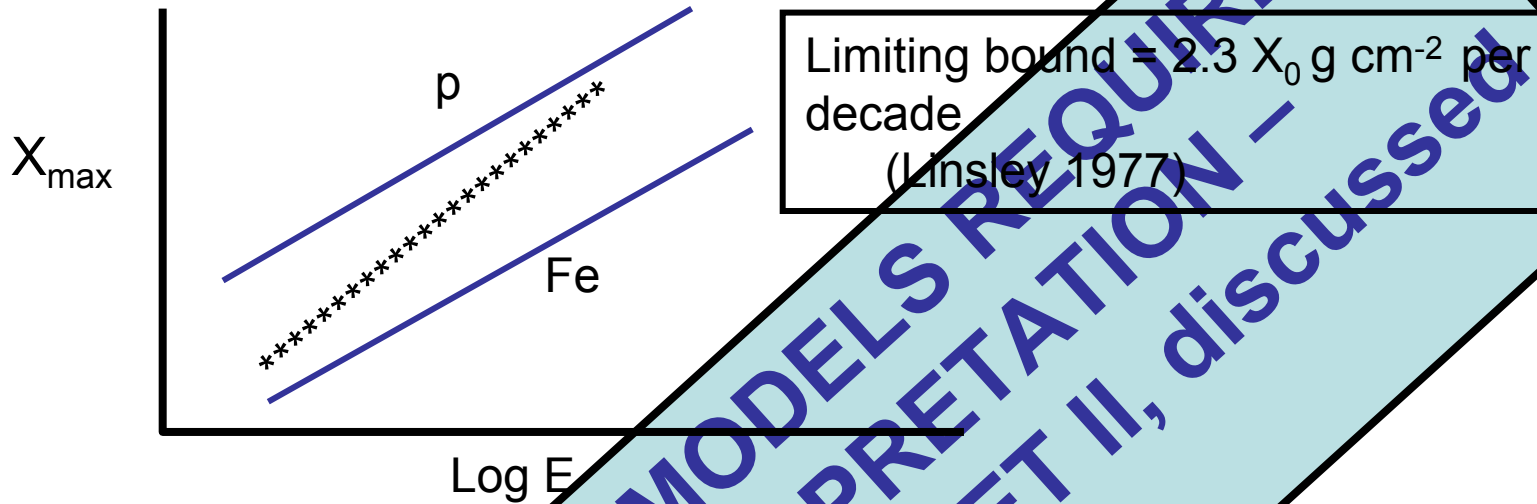
# A Big Event - *One that got away!*



19 April 2004

# Methods of Inferring the Primary Mass

## (i) Variation of Depth of Maximum with



## (ii) Muon Conte

$N_\mu (>1 \text{ GeV})$   
(dep

and model)

$N_\mu (>1 \text{ GeV}) \sim A^{0.86} \sim A^{0.14}$

So, more Fe showers

HADRONIC MODELS REQUIRED FOR INTERPRETATION - New model, QGSJET II, discussed at ICRC



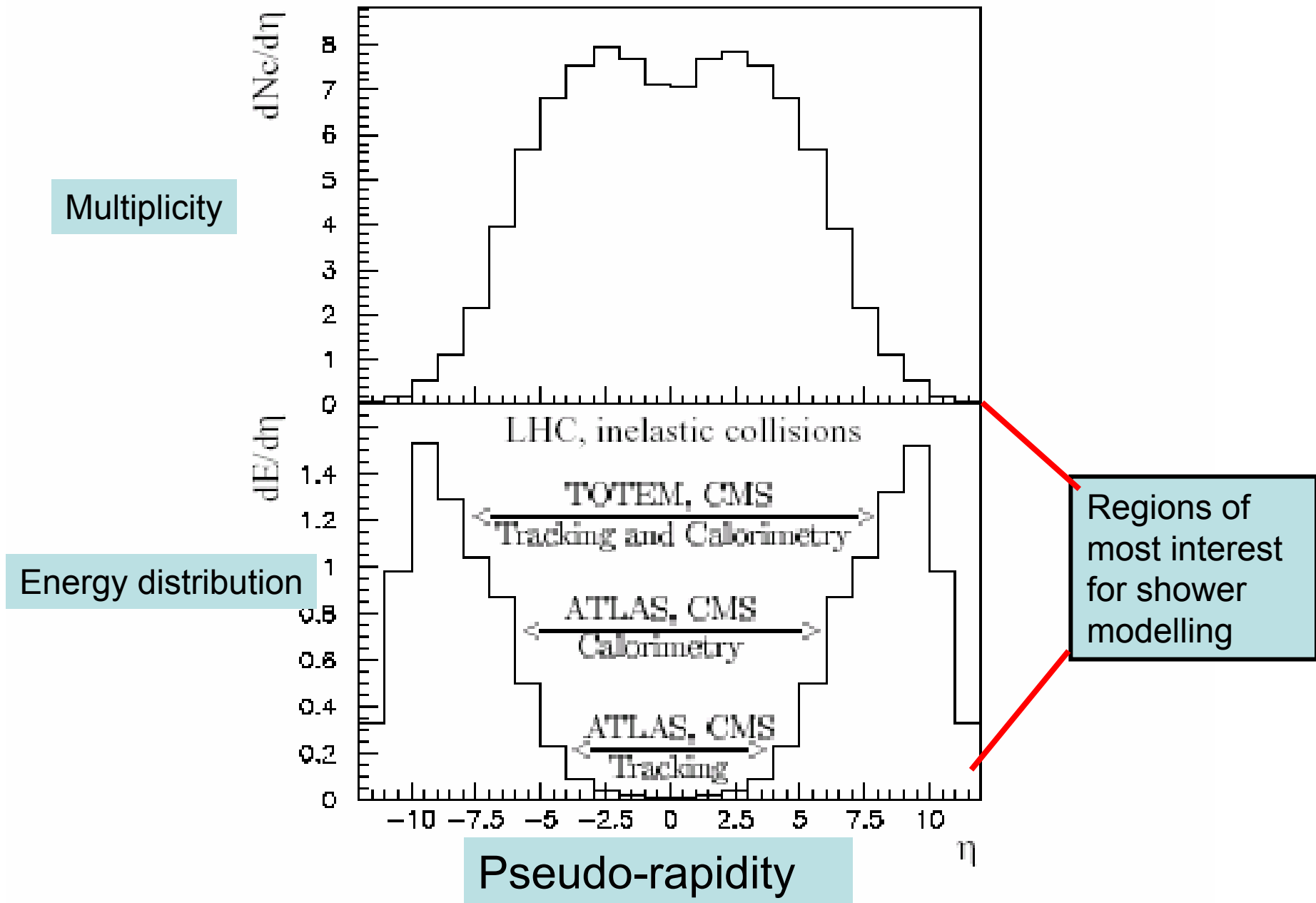
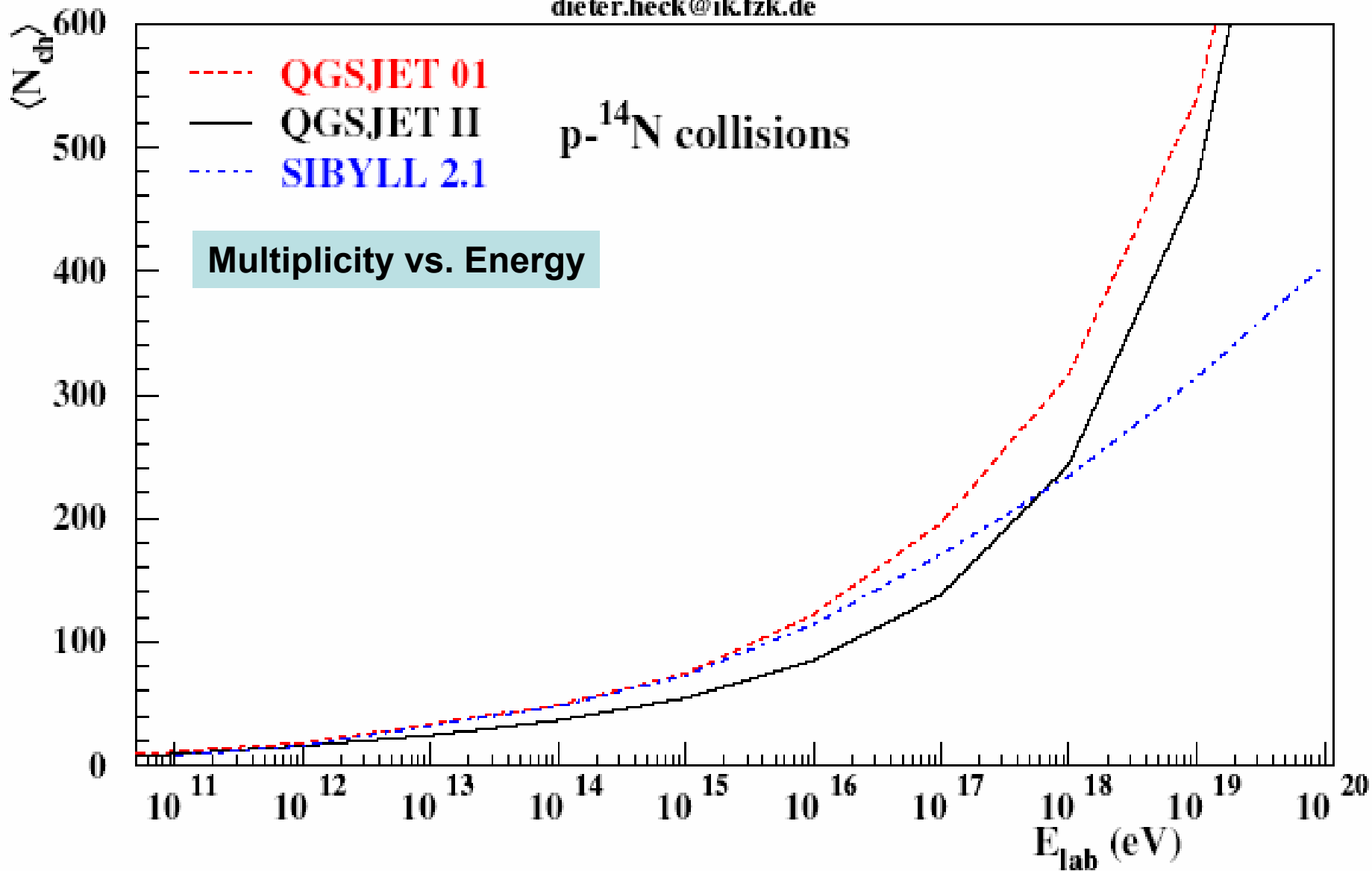
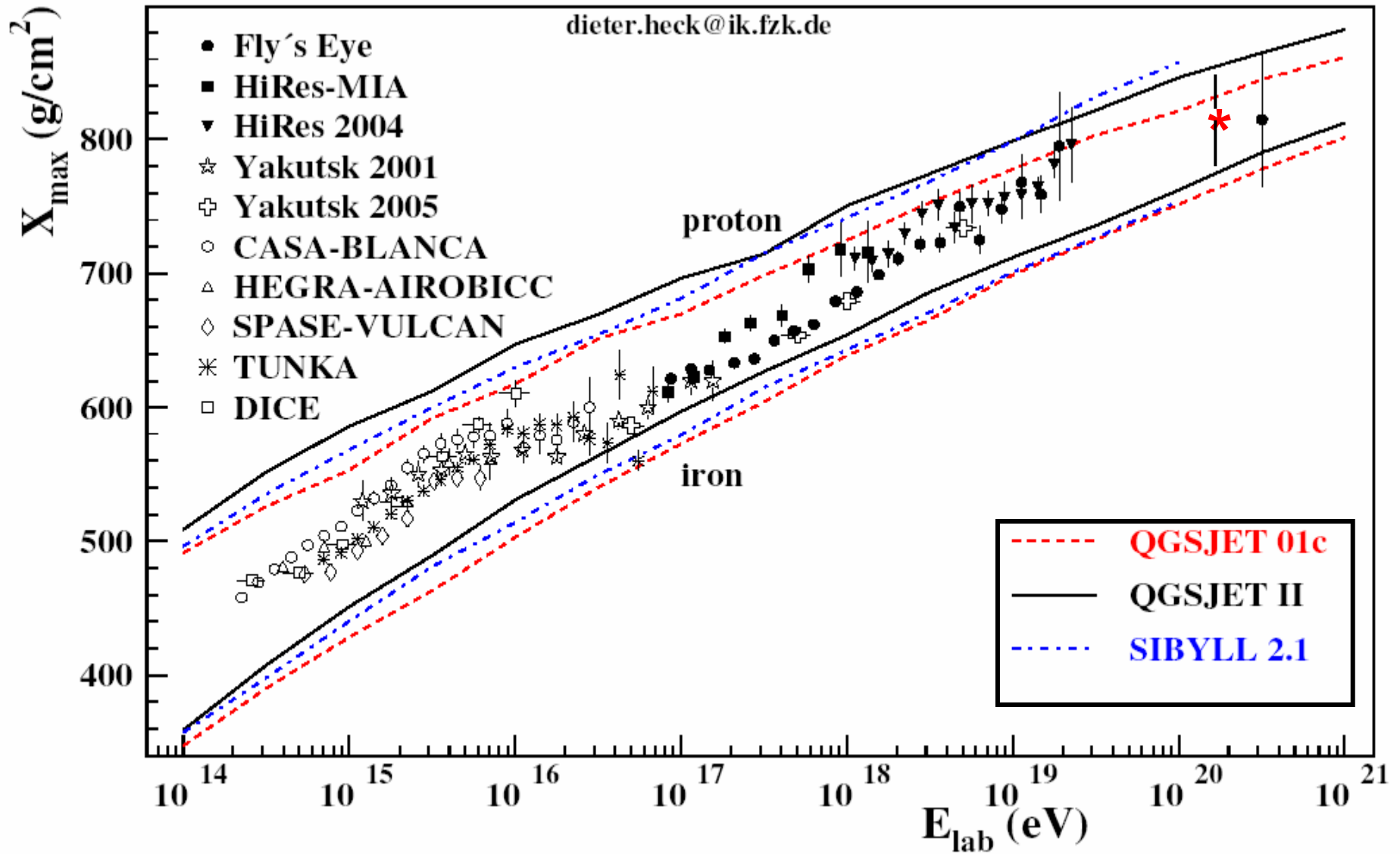


FIG. 7: Pseudorapidity distributions of charged particles (upper panel) and of the energy flow (lower panel) for  $pp$  collisions at LHC [121].

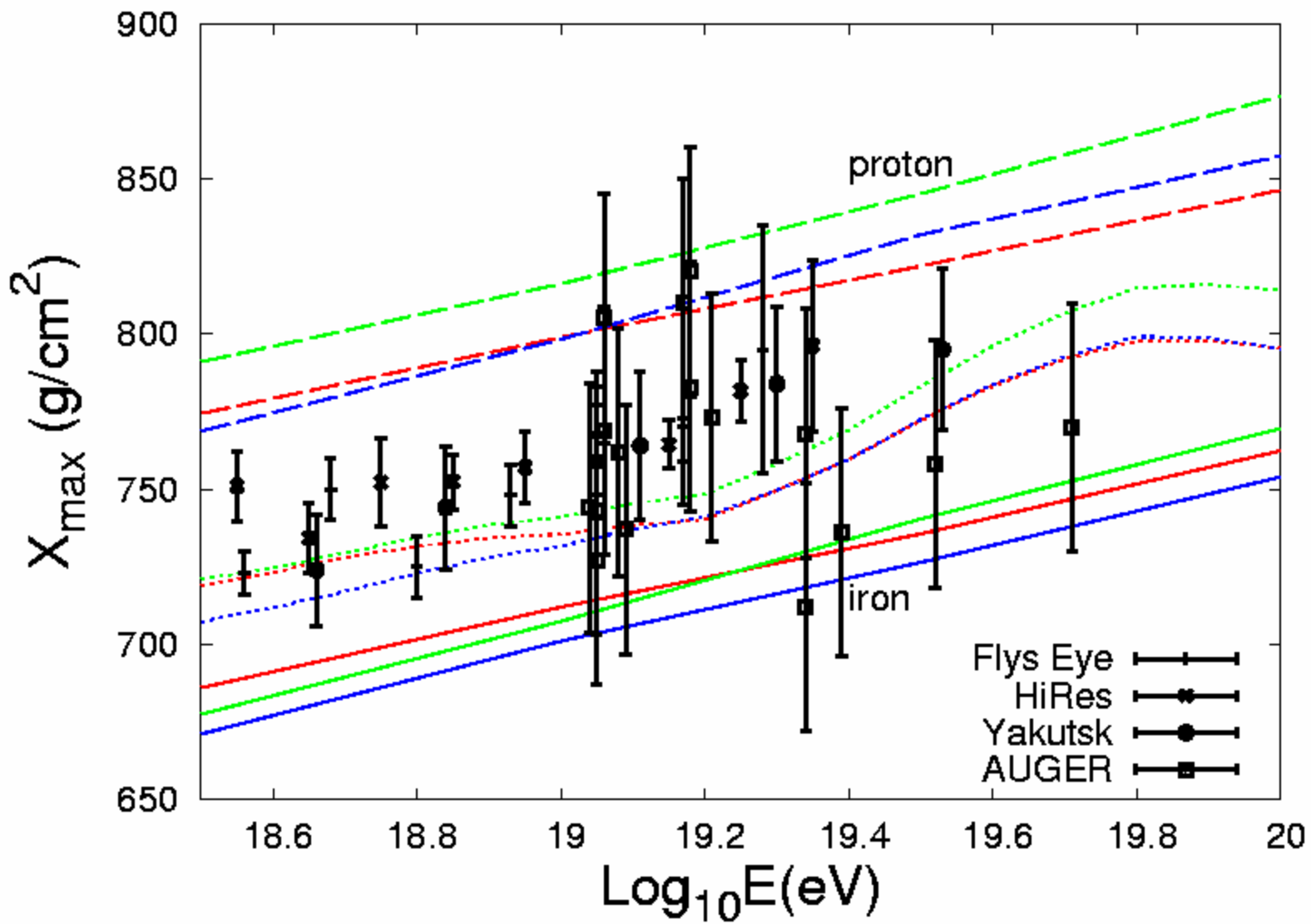
dieter.heck@ik.fzk.de



$X_{\max}$  vs. Energy for different models compared with data



Assumption: Fe with  $E^{-2}$  with sharp cut-off at  $10^{22}$  eV



## Spectrum measurements: Issues of concern

### 1: SURFACE DETECTOR ARRAYS (e.g. AGASA, Yakutsk)

#### **APERTURE:**

- relatively easy to determine

#### **ESTIMATION OF PRIMARY ENERGY:**

- mass assumption required
- hadronic interaction model must be assumed for which systematic uncertainty in **UNKNOWNABLE**
- QGSJETII model will lead to revisions

## 2. FLUORESCENCE DETECTOR (e.g. HiRes):

**ENERGY ESTIMATES** depend only weakly on assumptions about models and mass

**BUT** determination of energy requires

- atmospheric corrections for each event
- Cherenkov light subtraction (< 25% used)

**APERTURE** is difficult to measure

- does not saturate
- depends on atmosphere
- mass of primary
- models
- spectral shape

**so, aperture can be systematically uncertain**

### 3. Hybrid Detectors (e.g. Auger)

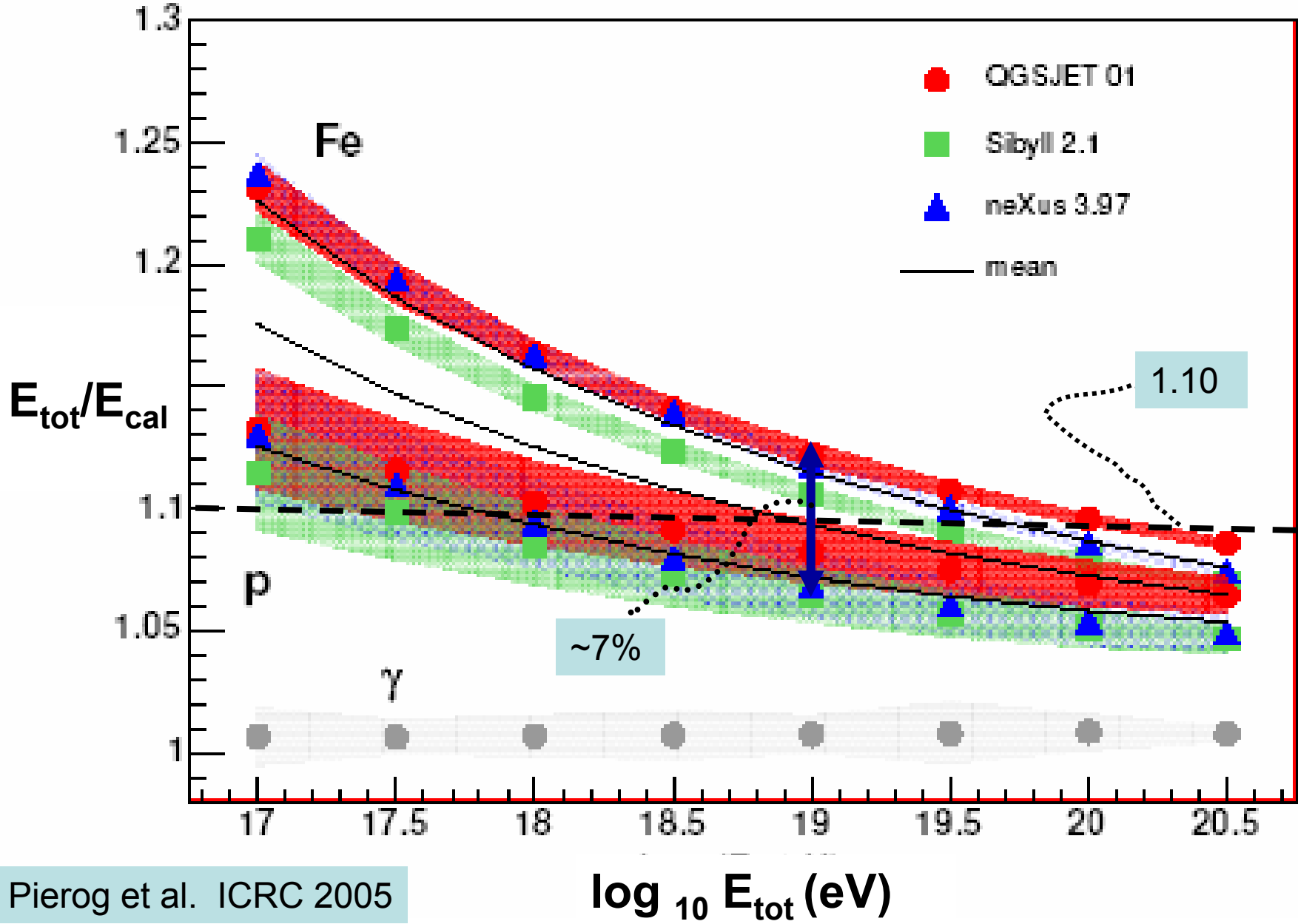
**ENERGY CALIBRATION** of size parameter measured by surface detectors is made with fluorescence detectors on carefully selected sample of events:

- long tracks in atmosphere:  $> 350 \text{ g cm}^{-2}$
- Cherenkov light contamination:  $< 10\%$   
(Auger criteria)

**HIGH STATISTICS** from surface array

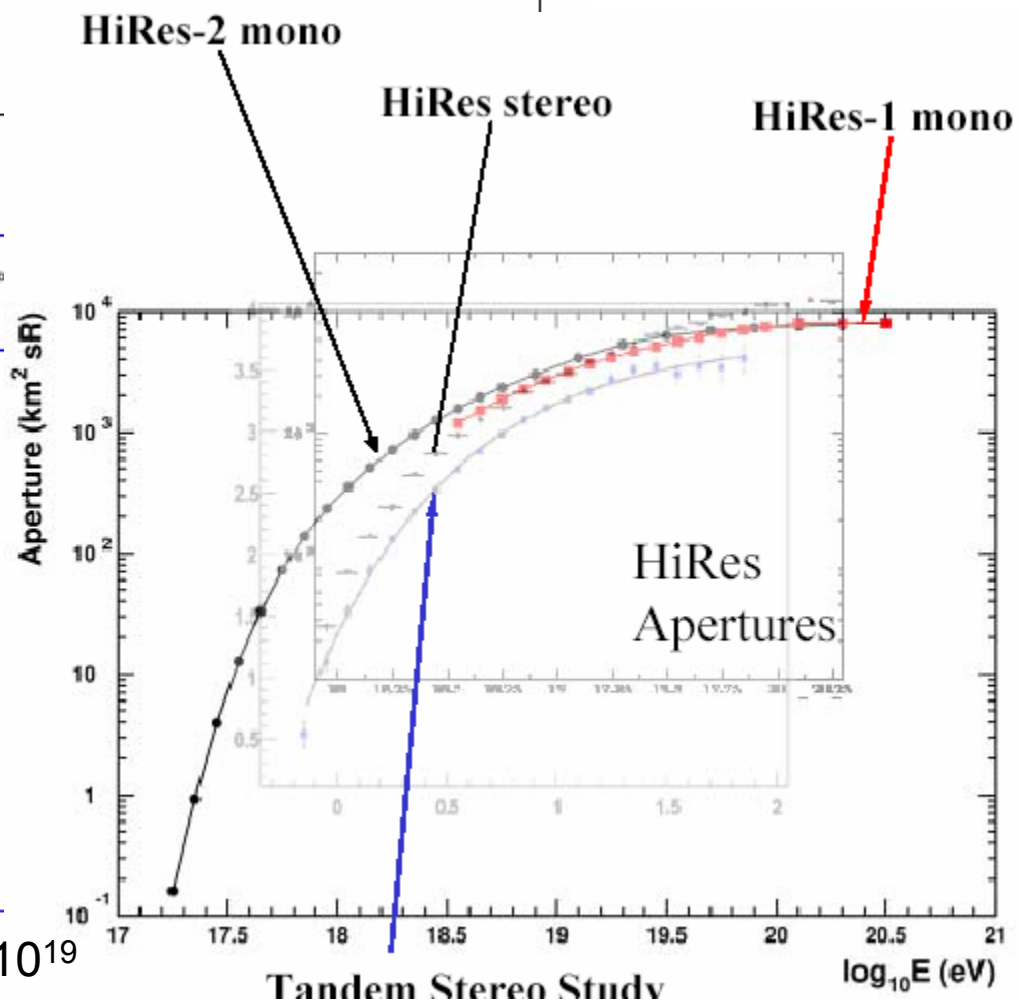
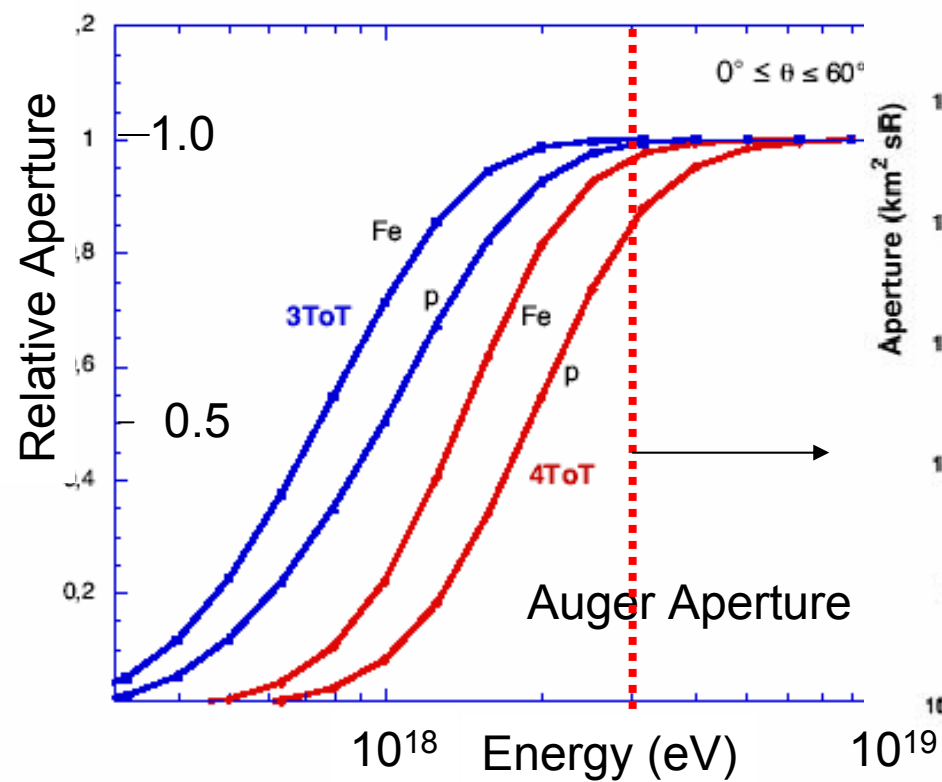
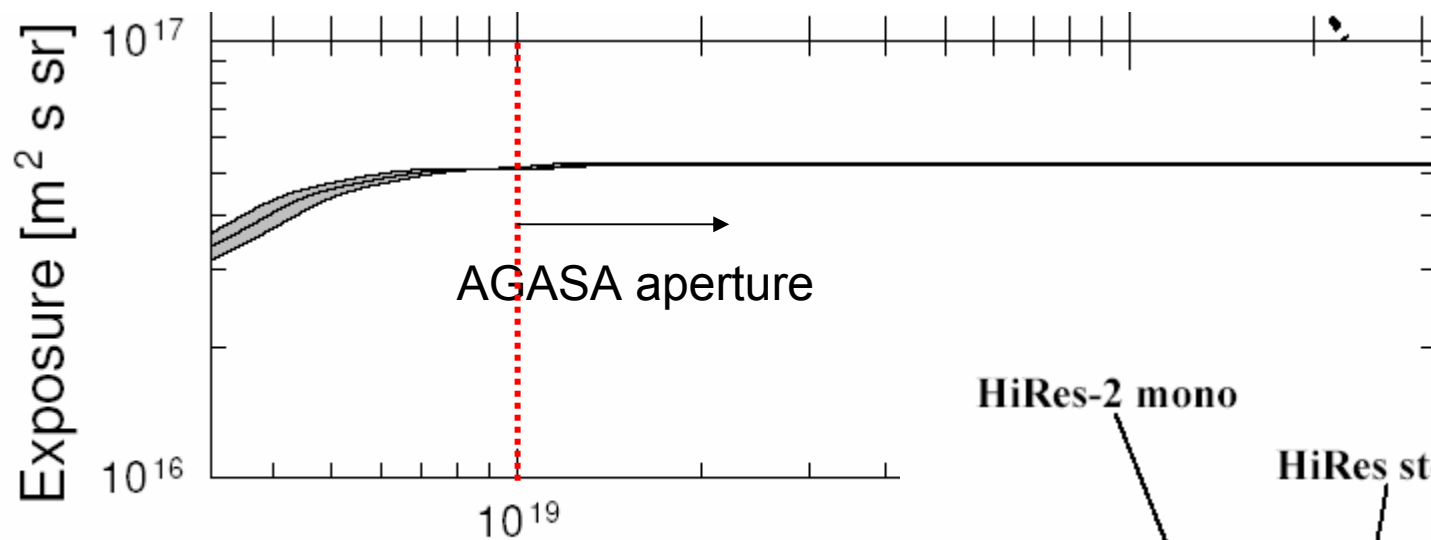
**APERTURE:** well-defined

Ratio of total energy to electromagnetic energy for fluorescence detector

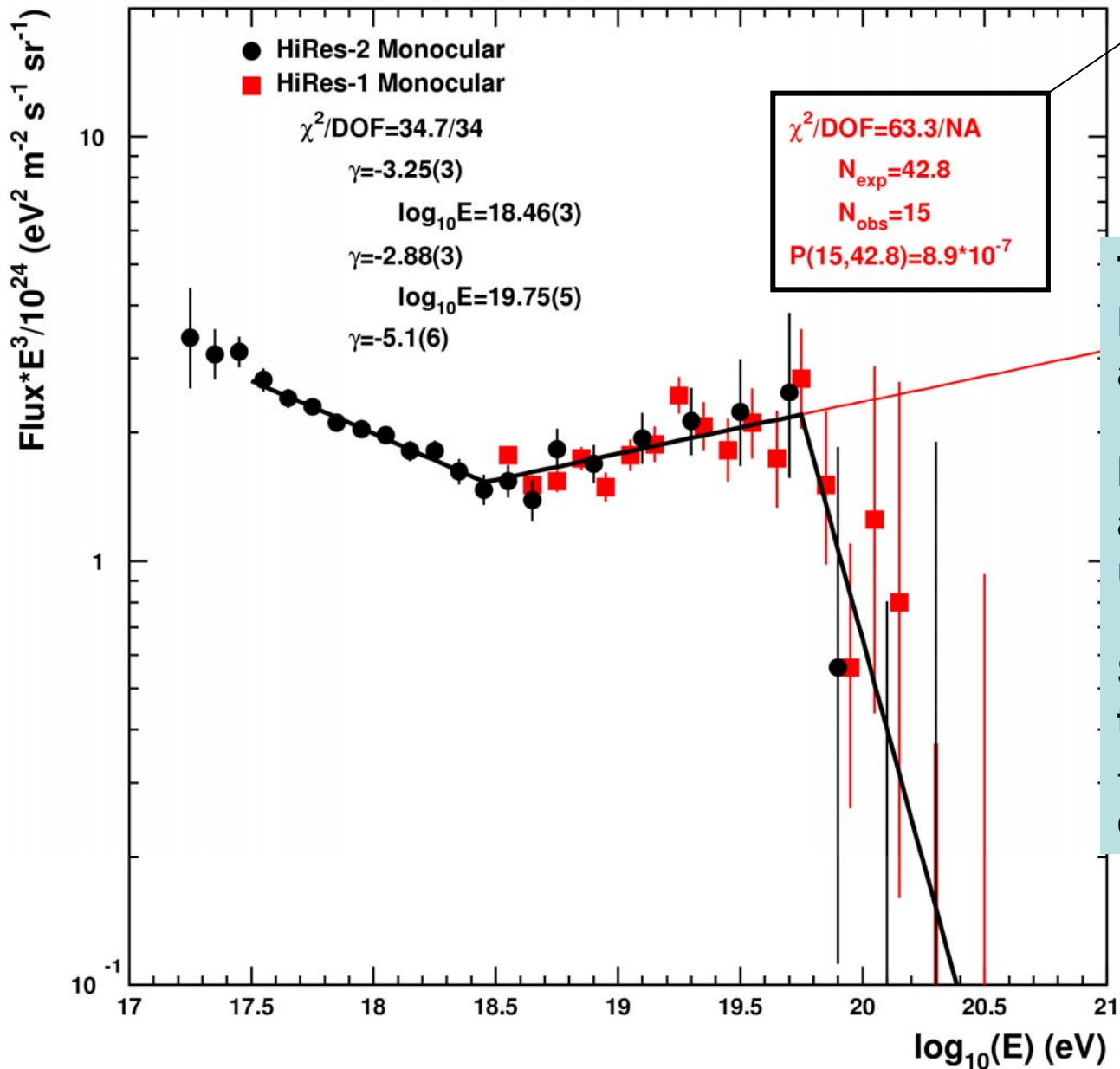


Pierog et al. ICRC 2005





# HiRes Monocular Spectra: ICRC



Choice of data used in the fit is entirely subjective and no propagation of E errors into y-direction

The HiRes group have yet to release a stereo spectrum.

It will have hour-by-hour atmospheric corrections using monitoring data

Should also help to resolve the aperture uncertainties - at least at small distances

# Auger Energy Determination: Step 1

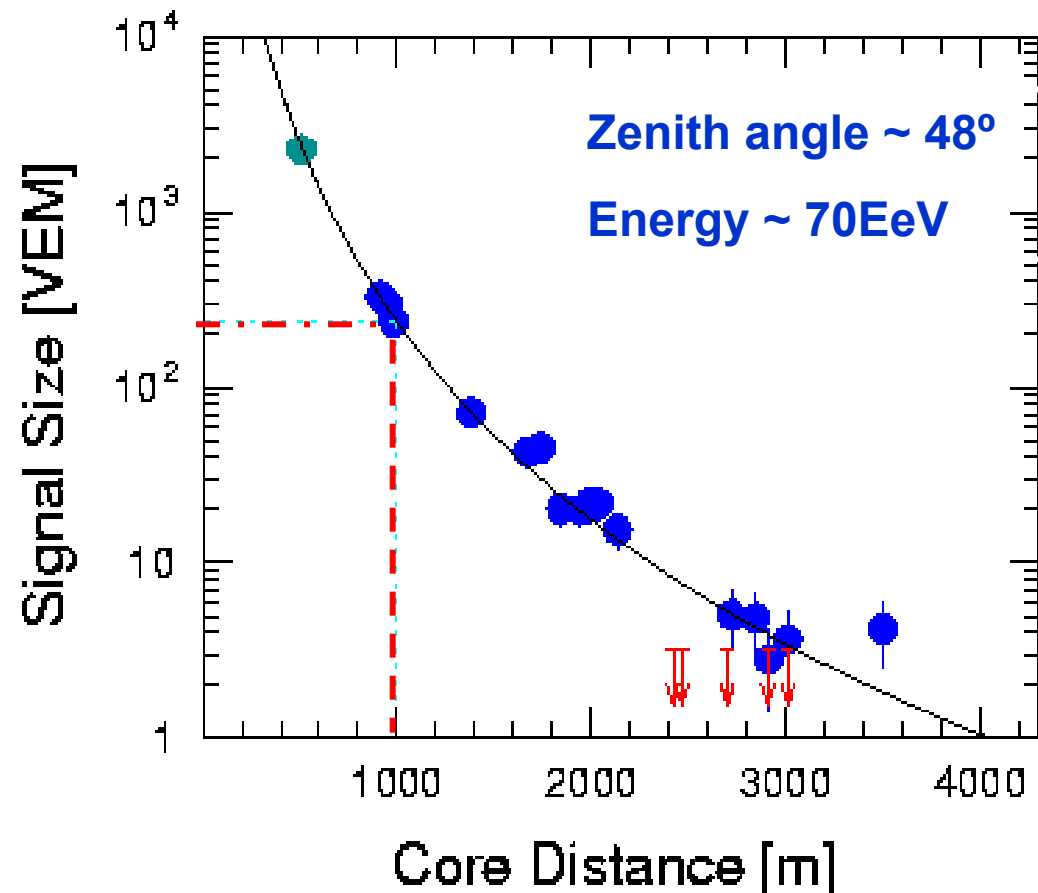
*The energy scale is determined from the data and does not depend on a knowledge of interaction models or of the primary composition – except at level of few %.*

ID 762238

The detector signal at 1000 m from the shower core

- called the ground parameter or  $S(1000)$
- is determined for each surface detector event using the lateral density function.

**$S(1000)$  is proportional to the primary energy.**



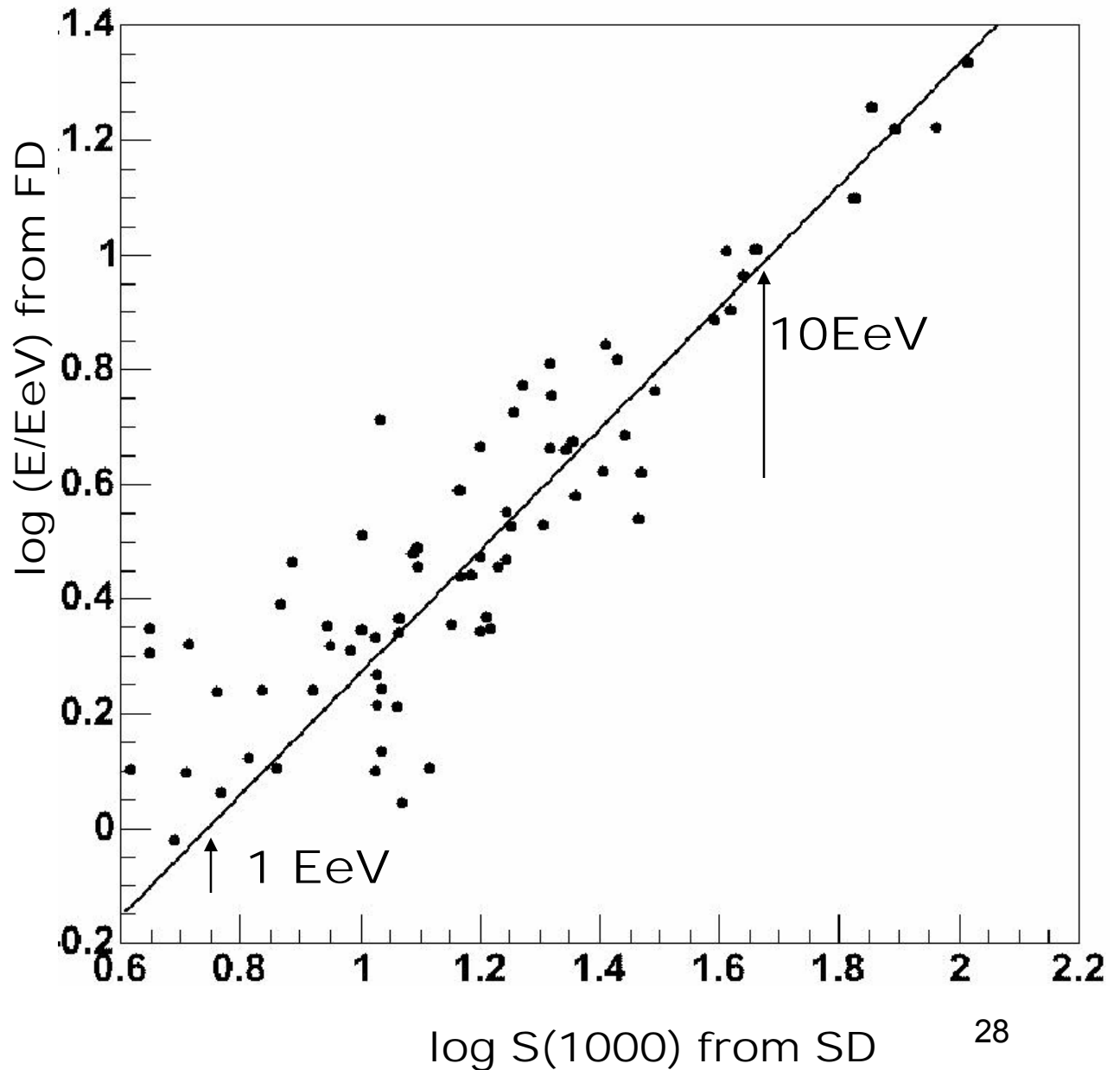
# Auger Energy Determination: step 2

Hybrid Events with  
STRICT event  
selection:

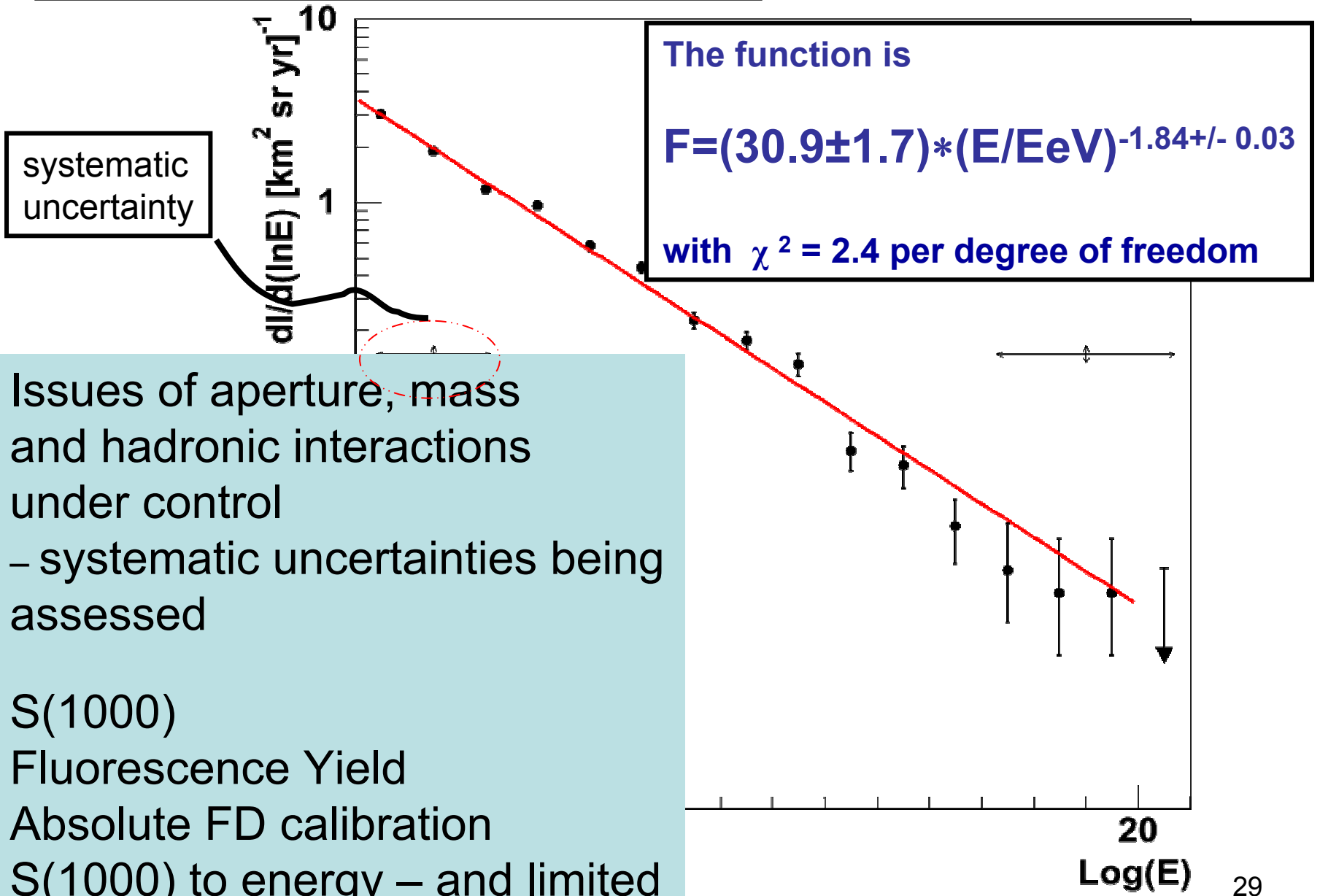
aerosol content  
measured

track length  
> 350 g cm<sup>-2</sup>

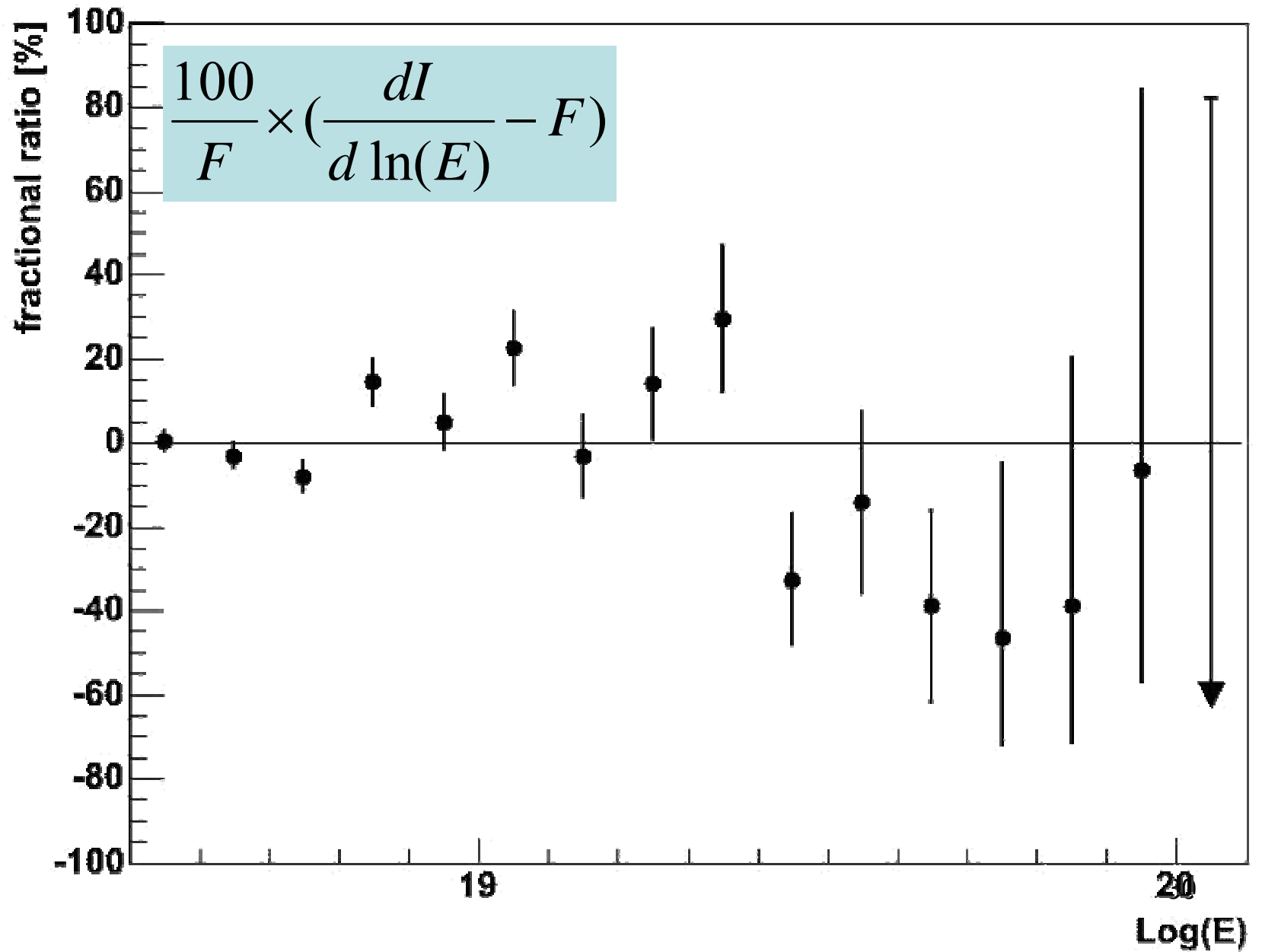
Cherenkov  
contamination  
<10%



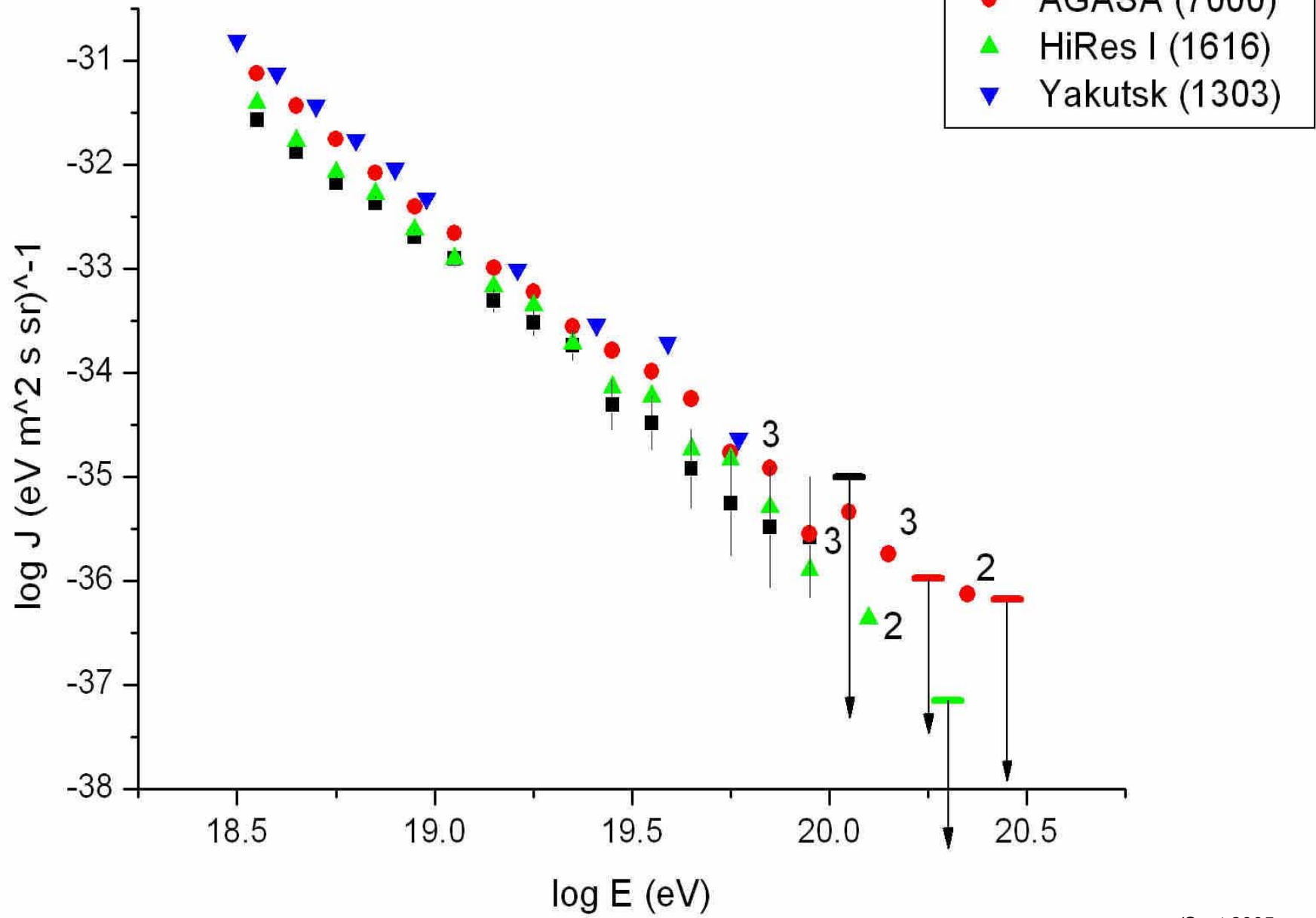
Spectrum measured with Auger Observatory



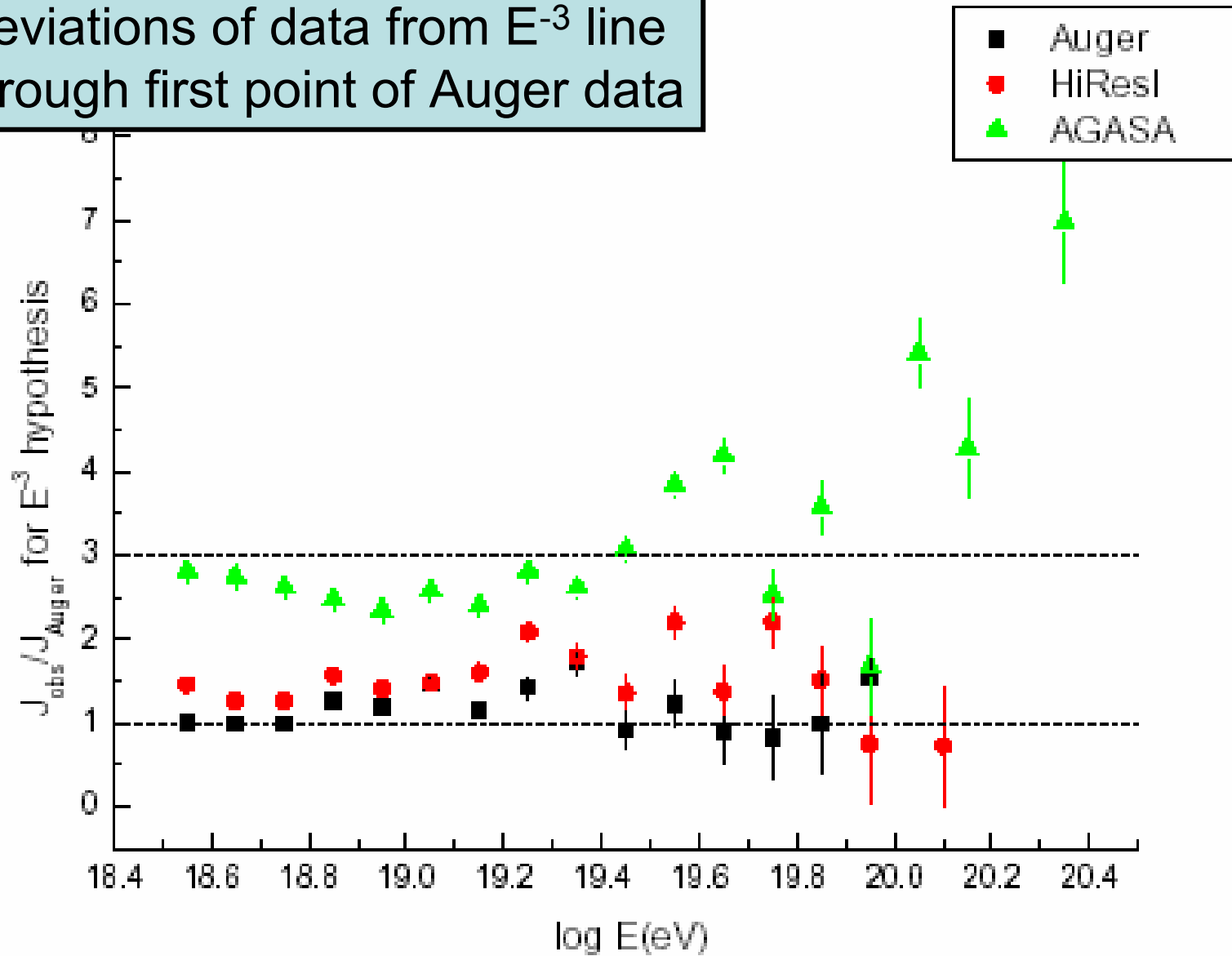
## Percentage Deviation from the Power-Law Fit



# Summary Spectrum above 2 EeV



Deviations of data from  $E^{-3}$  line through first point of Auger data





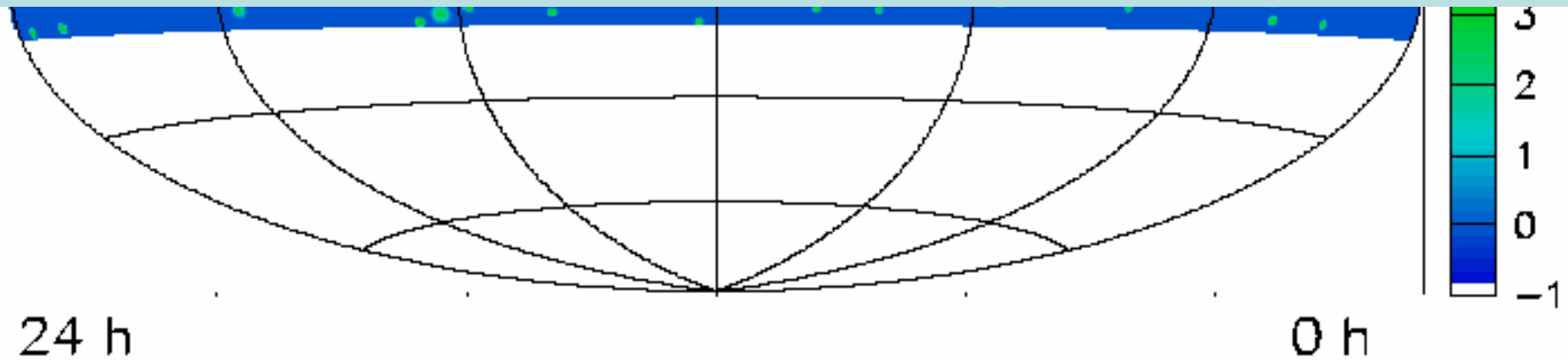
HiRes stereo events  $> 10$  EeV plus AGASA events above 40 EeV

Candidate Cluster  
 $\alpha = 169.0$   $\delta = 56.2$

$\log(R)$

**NB: Previously, Finley and Westerhoff had shown previously that AGASA clustering was statistically unconvincing**

**- Clustering is very far from being established**



Analysis uses likelihood ratio method:

**$p = 43\%$  for 271 HiRes and 47 AGASA events**

HiRes Collaboration: ICRC 2005: Westerhoff et al.

## HiRes does see

### correlations with BL Lacs:

Veron 11th Catalogue:

178 objects with magnitude  $< 18$

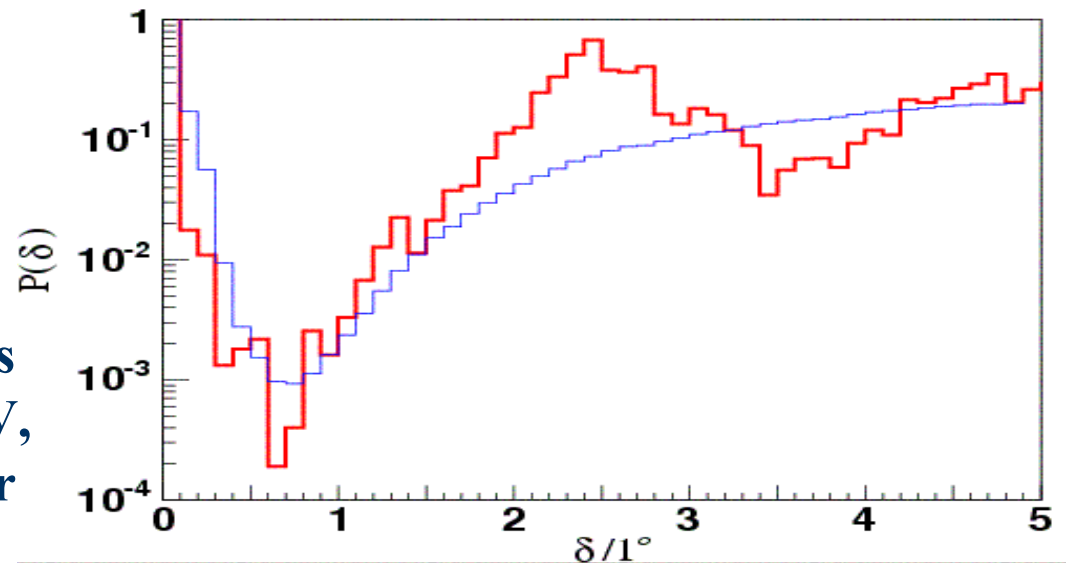
**Claim: excess number of BL Lacs seen near HiRes events  $> 10^{10}$  GeV, consistent with the HiRes angular resolution  $\sim 0.6^\circ$**

### GOOD ANGULAR RESOLUTION

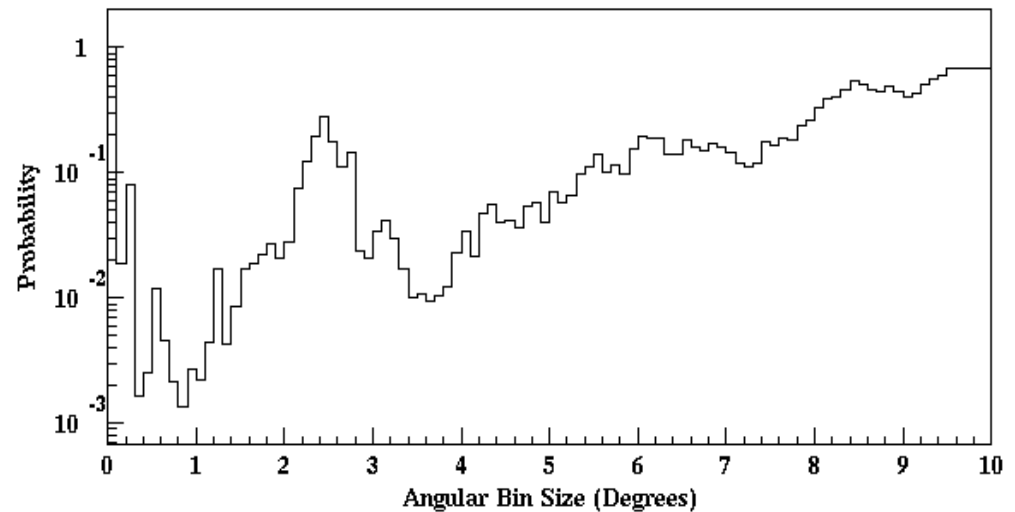
see 11 pairs  $< 0.8^\circ$  and expect  $\sim 3$ ,  
 $\Rightarrow$  probability  $\sim 5 \times 10^{-4}$

But these BL Lacs are hundreds of Mpc distant!

**Few % of primaries must be neutral @  $10^{10}$  GeV!!**



Gorbunov *et al* (2004)



Westerhoff *et al* (2005)

## Summary of BL Lac Searches

Source Sample (# Obj.)	All Energies	$E > 10 \text{ EeV}$
“BL” (157)	$2 \times 10^{-4}$	$2 \times 10^{-4}$
“BL”+“HP” (204)	$5 \times 10^{-4}$	$10^{-5}$
TeV Blazars (6)	$10^{-3}$	$2 \times 10^{-4}$

**Table 2.** Combined HiRes — BL Lac Correlations: Fraction  $\mathcal{F}$  of simulated HiRes sets with stronger correlation signal. All samples include the  $m_z < 18$  cut. The samples overlap and are *not* independent.

Finley and Westerhoff ICRC 2005

**Group is awaiting independent data set recorded post January 2004 up to closure in March 2006 before making any claims.**

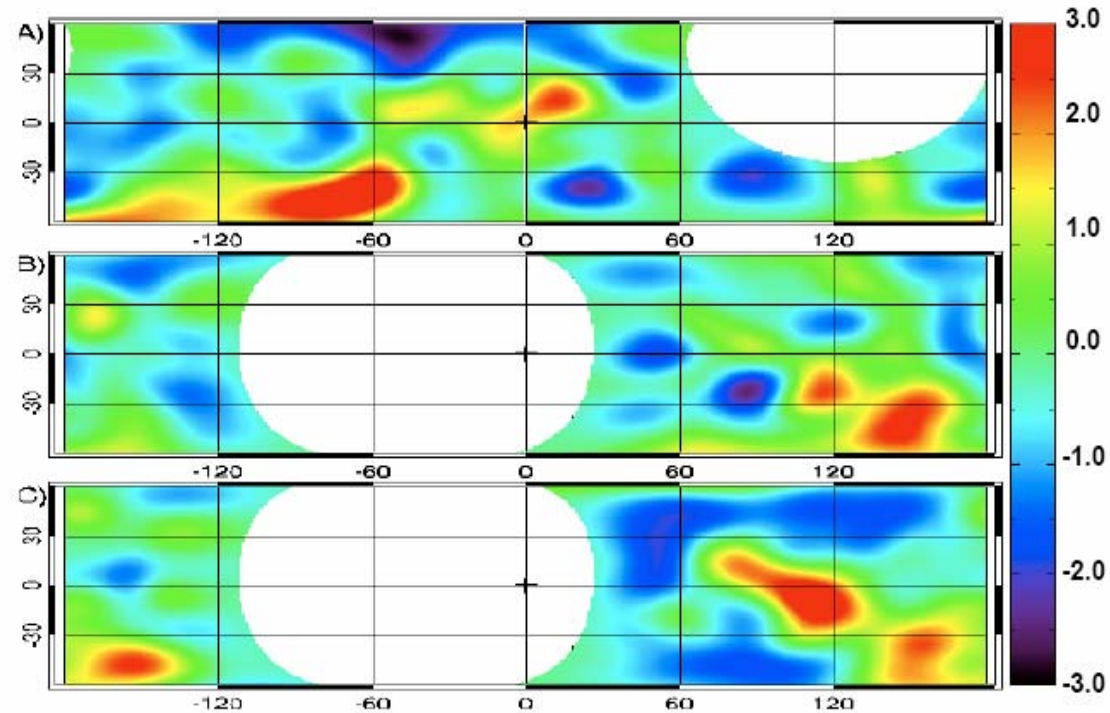
**They have concerns about ‘over tuning’**

# Auger Observations show NO concentration of events along Galactic or Super-Galactic Plane

**A: 1 – 5 EeV:  
Galactic  
Plane**

**B: > 5 EeV:  
SGP**

**C: > 10 EeV:  
SGP**



A) **GP** 1 - 5 EeV ( $L \pm 10^\circ$ ) 5077 / 5083.3

B) **SGP** > 5 EeV ( $L \pm 10^\circ$ ) 241 / 232.8

C) **SGP** > 10 EeV ( $L \pm 10^\circ$ ) 68 / 67.4

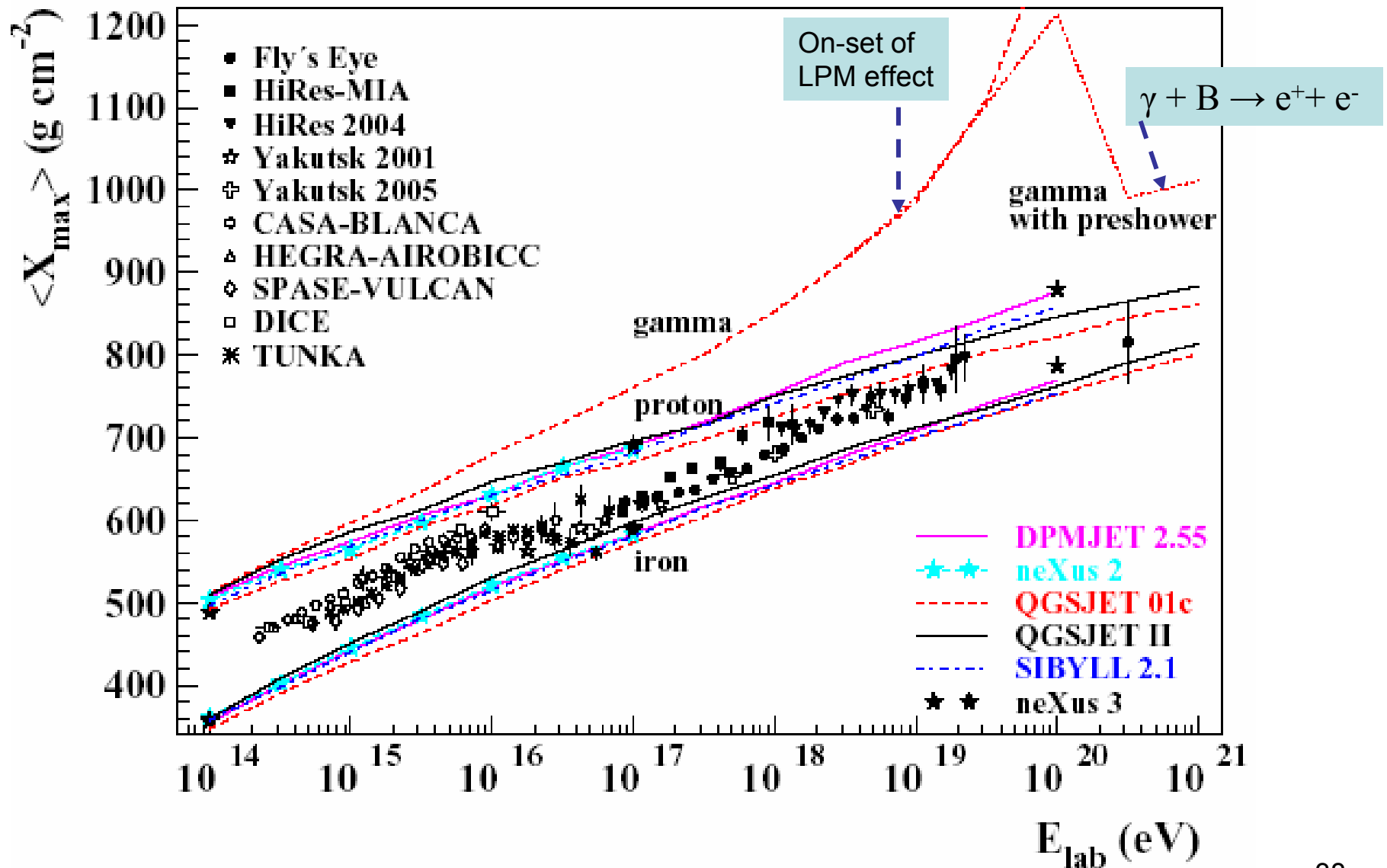
**If highest energy particles were protons, and there is no anisotropy, exotic origin ideas have to be invoked**

- **Decay of super-heavy relics from early Universe (or top down mechanisms)**  
**Wimpzillas/Cryptons/Vortons**

***Predictions:***

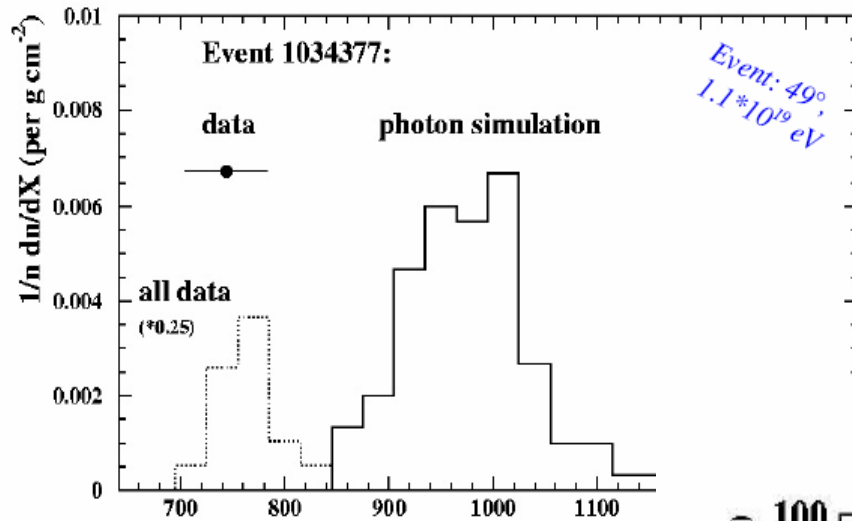
***dominance of neutrinos and photons***

- **New properties of old particles or new particles**
- **Breakdown of Lorentz Invariance**



Picture by Dieter Heck

## Example



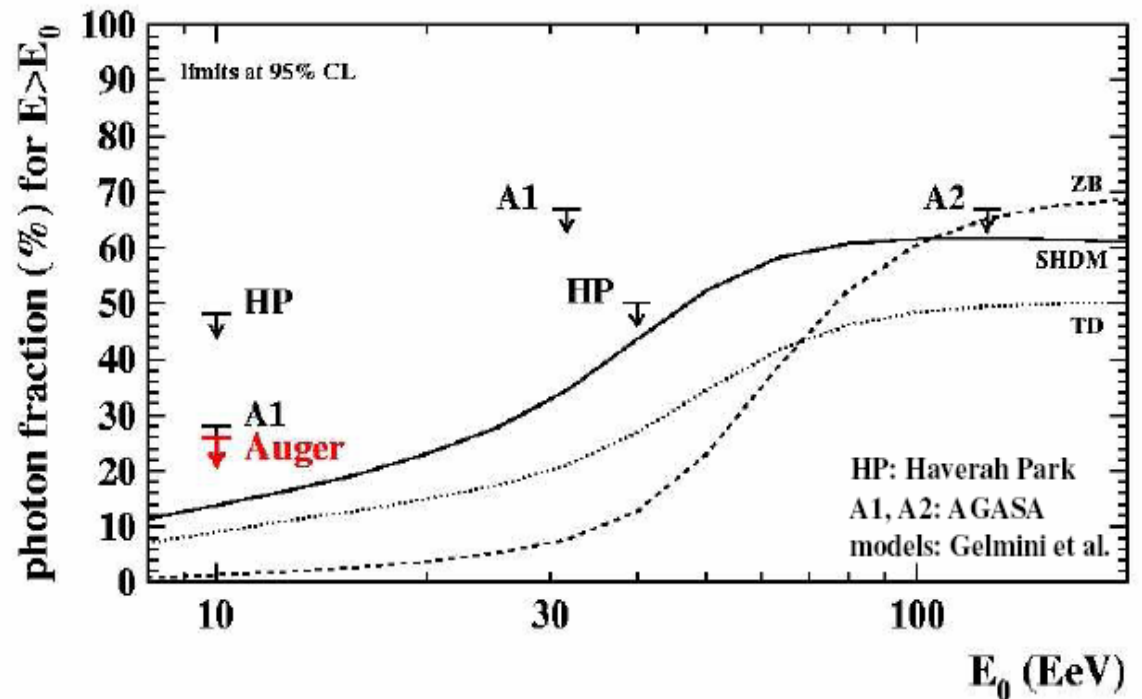
- event:  $X_{\max} = 744 \pm 40 \text{ g cm}^{-2}$
- photons:  $\langle X_{\max} \rangle = 1020 \text{ g cm}^{-2}$ , rms = 8
- observed  $X_{\max}$  well below photon expect

... the highest energy particles seem NOT to be dominantly photons

Photon limit from Auger observations

Constrains (but does not yet rule out) ‘top-down’ models of UHECR origin

New upper limit



(Risse for Auger Collaboration, ICRC 2005) 26% upper limit (95% CL) on cosmic-ray photon fraction

# Ideas to explain the Enigma

- Decay of super heavy relics from early Universe (or top down mechanisms)

**Wimpzillas/Cryptons/Vortons**

**Few photons: <26% at  $10^{19}$  eV (Auger claim)**

**Model predictions have changed**

**Is there need for exotic explanations?  
or is it 'simple'?**

- Are the UHE cosmic rays iron nuclei at source?
- Are magnetic field strengths really well known?



Summary: I

- **Arrival Directions:**

  - No convincing evidence for anisotropy
  - Possibility of BL Lac associations could be clarified in ~ 2 years

- **New Hadronic Interaction Model:**

  - suggests that there could be a heavier mass  $> 10$  EeV than has been supposed by many in the past

  - Heavier mass** would ease acceleration, isotropy and spectrum issues

***BUT – Nature may have surprises to show at the LHC***

## Energy Spectrum:

**Auger:** ~ 5 to 7 X AGASA by 2007

Spectrum that is largely mass and model independent

**AGASA/HiRes/Auger** differences could – *possibly* – be understood through combination of improved understanding of HiRes aperture (composition/spectrum/ hadronic model and stereo data) **AND** different models and mass assumptions by AGASA

**ALL GROUPS HAVE REPORTED EVENTS ABOVE 100 EeV**

**QUESTION: WHAT IS THE DETAILED SHAPE OF THE SPECTRUM?**

# Thanks to all of my Auger colleagues

**Czech Republic  
France  
Germany  
Italy  
Netherlands  
Poland  
Slovenia  
Spain  
United Kingdom**

**Argentina  
Australia  
Brasil  
Bolivia\*  
Mexico  
USA  
Vietnam\***

**~250 PhD scientists from 63 Institutions and 15 countries**

***\* Associate Countries***



# Electromagnetic Acceleration

- **Synchrotron Acceleration**

$$E_{\max} = ZeBR\beta c$$

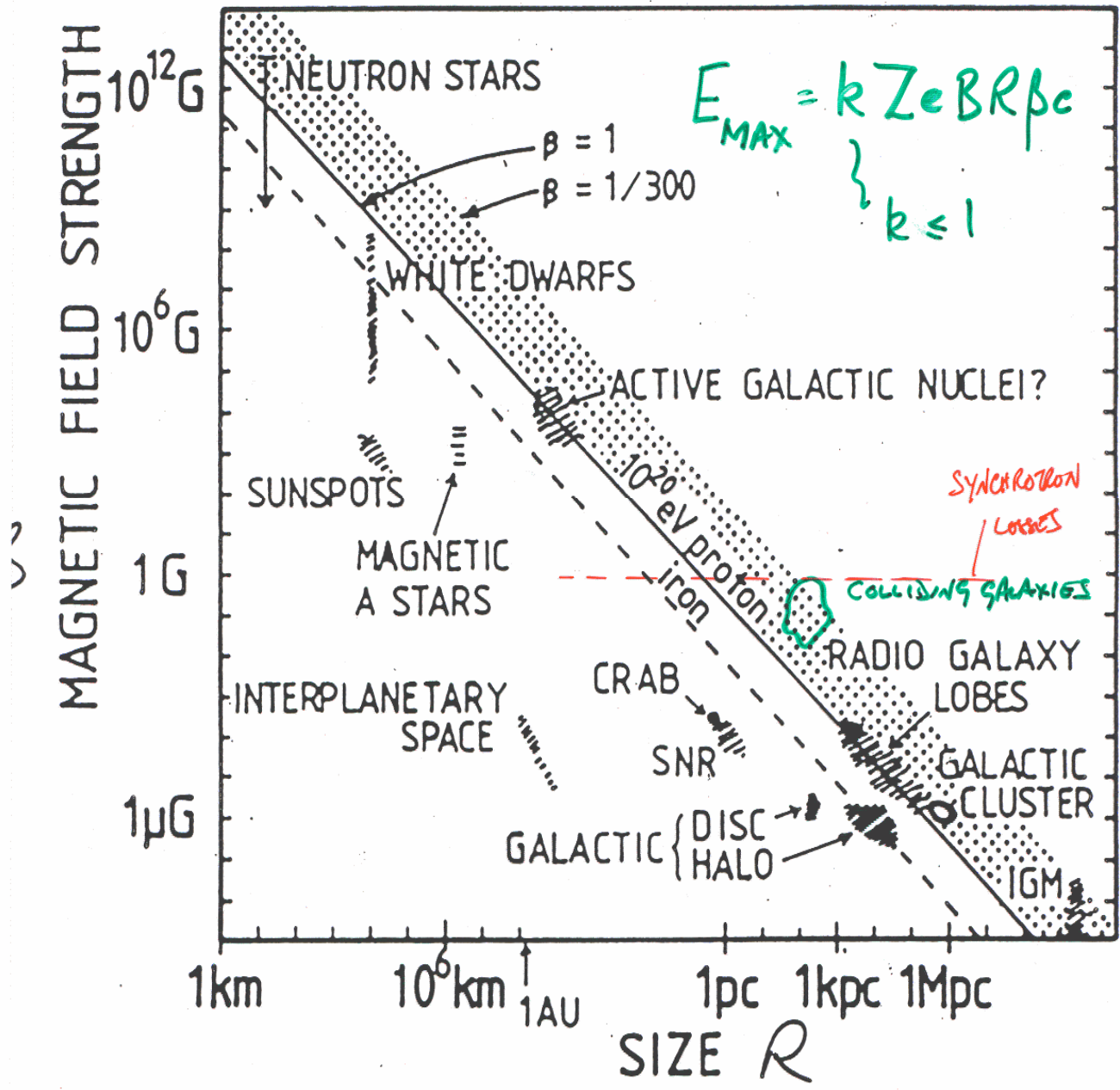
- **Single Shot Acceleration**

$$E_{\max} = ZeBR\beta c$$

- **Diffusive Shock Acceleration**

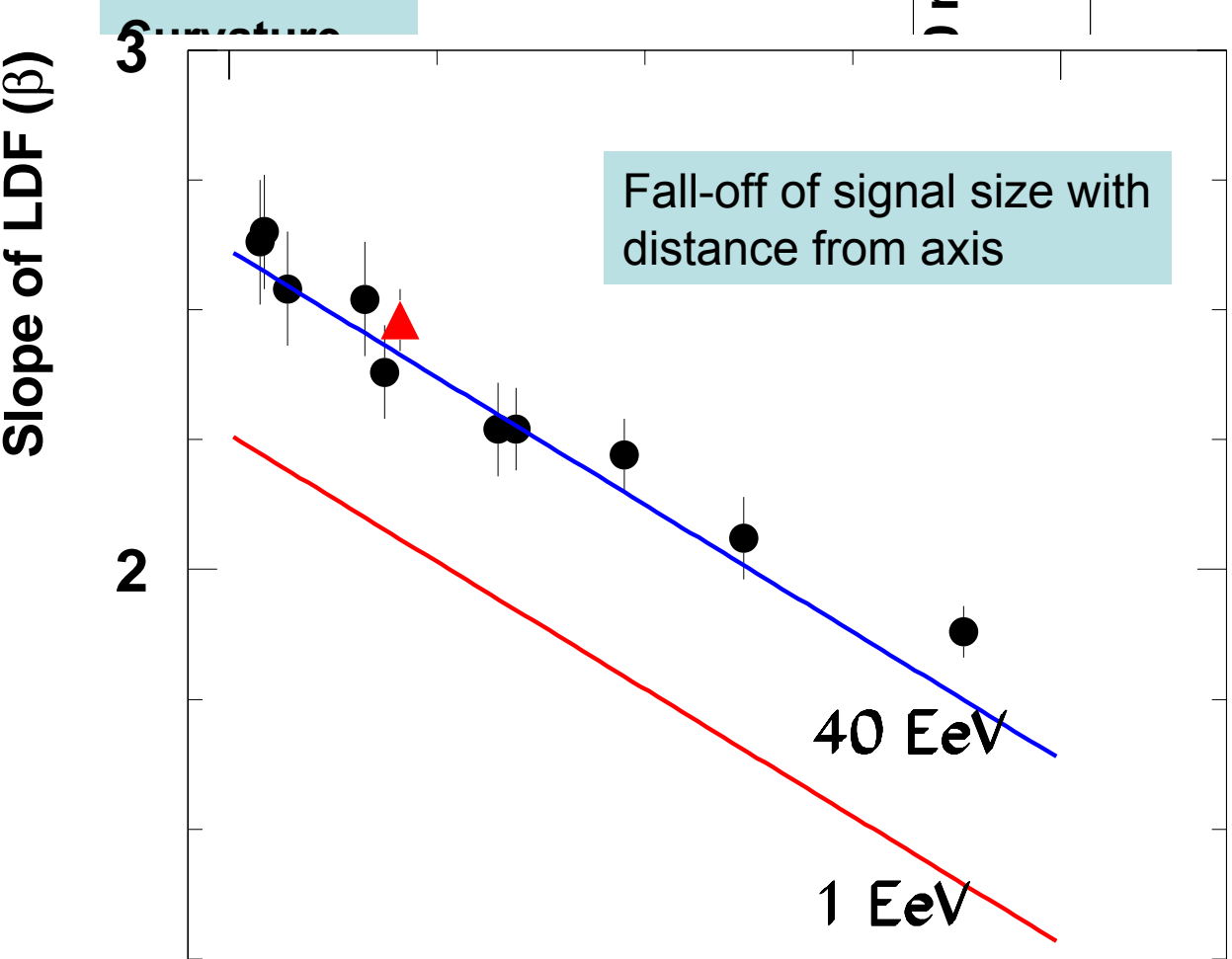
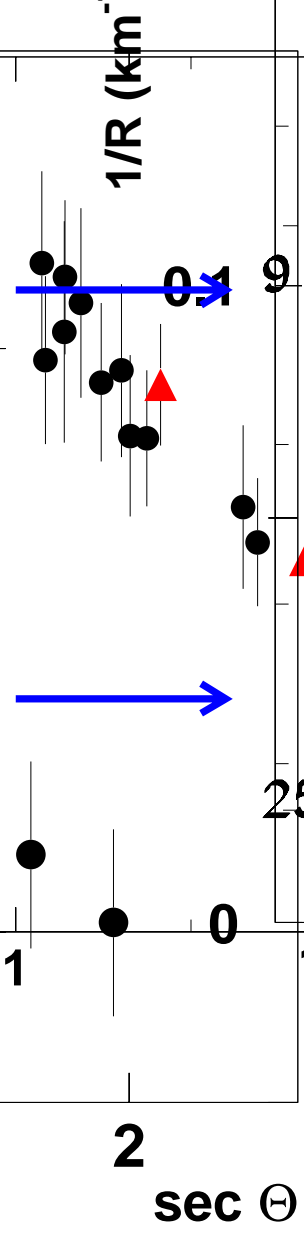
$$E_{\max} = kZeBR\beta c, \text{ with } k < 1$$

**Shocks in AGNs, near Black Holes.....**

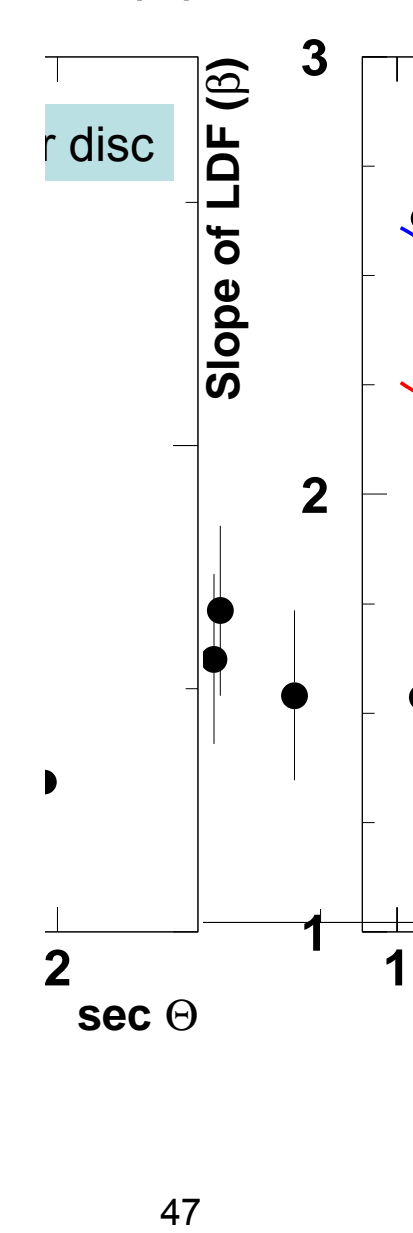


**Hillas** 1984  
 ARA&A  
 B vs R

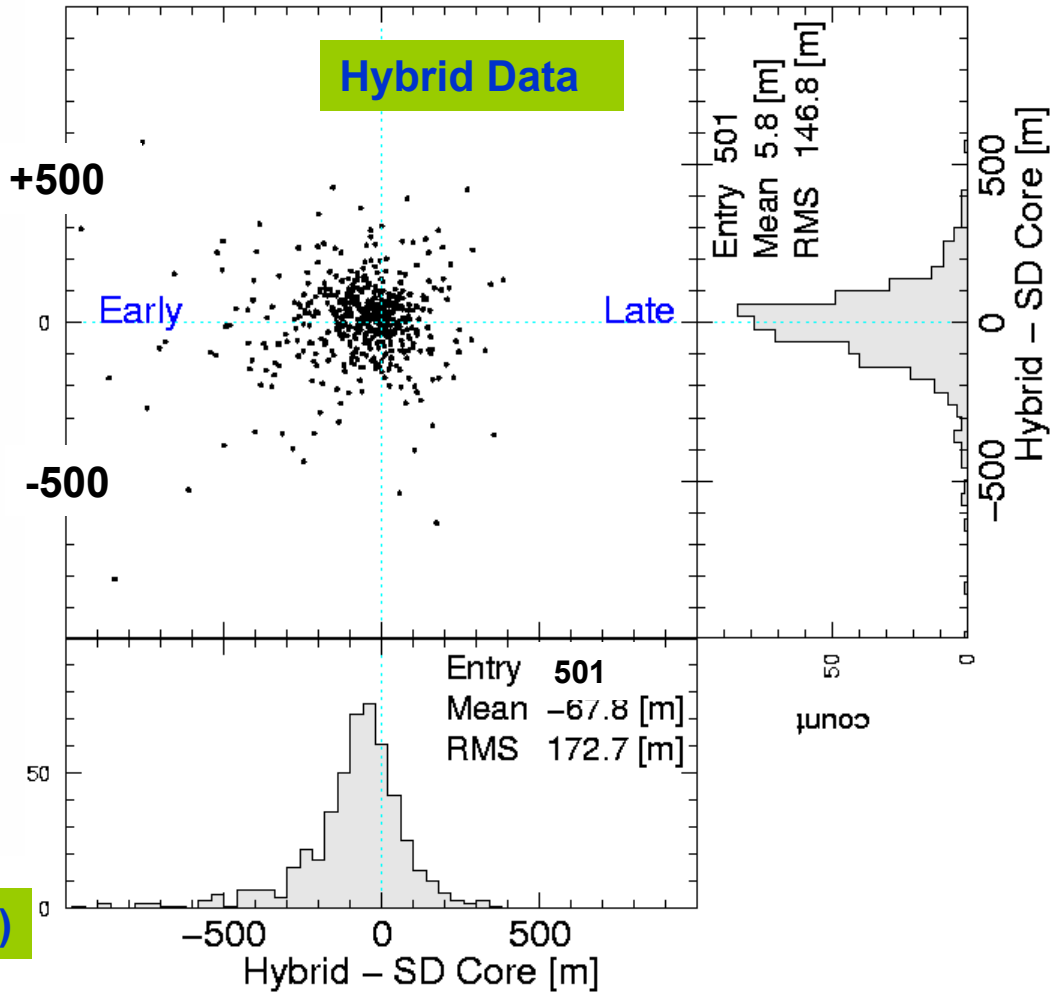
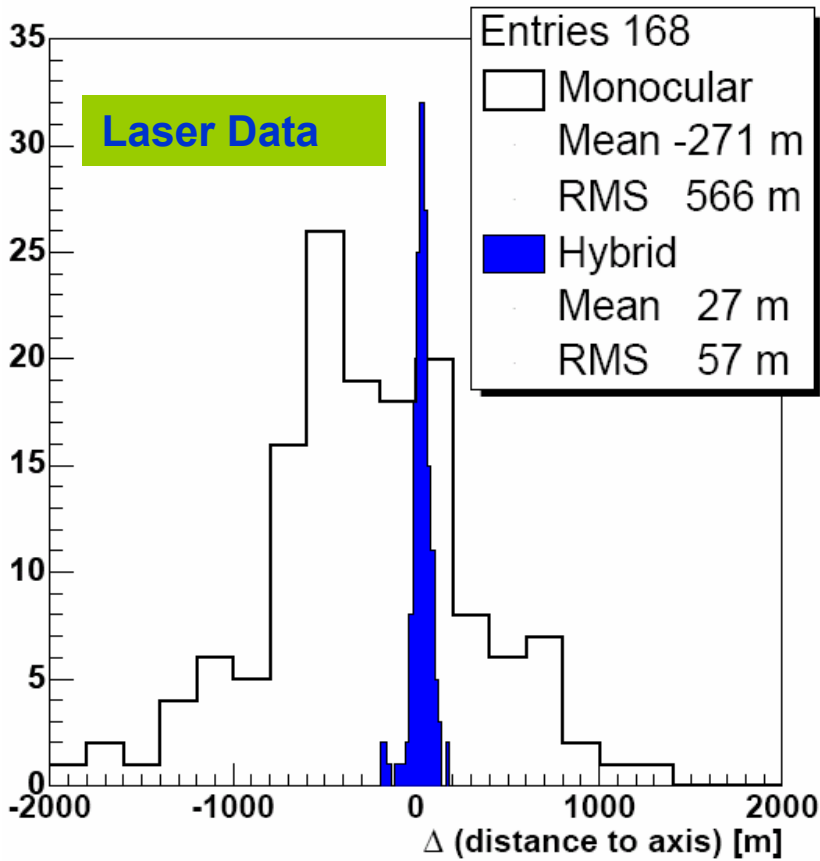
Magnetars?  
 GRBs?



Showers of 30 EeV are just like showers at 1 EeV – but bigger



# Resolution of Core Position



**Laser position – Hybrid and FD only (m)**

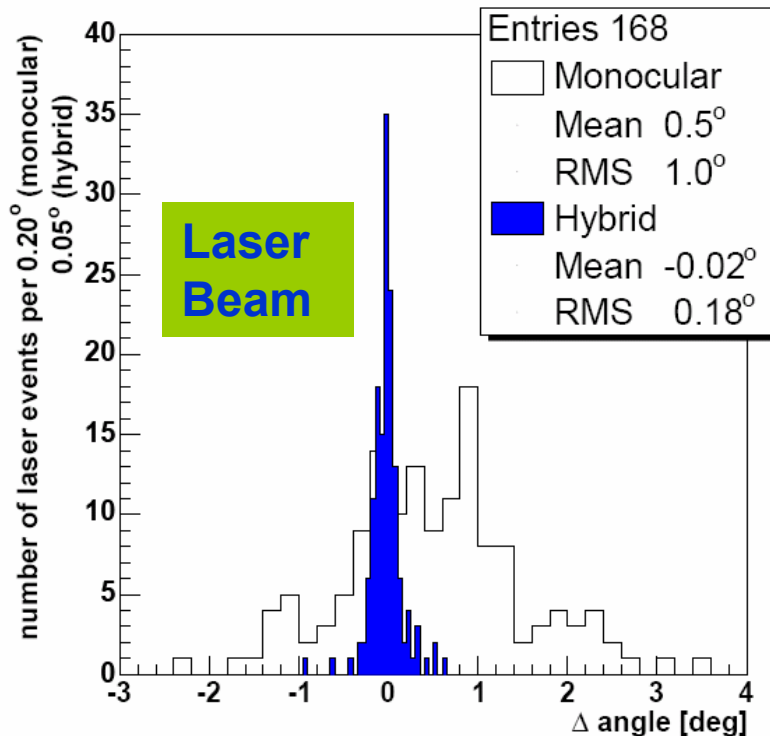
rms spread ~ 570 m for monocular fit

**Hybrid – SD only core position**

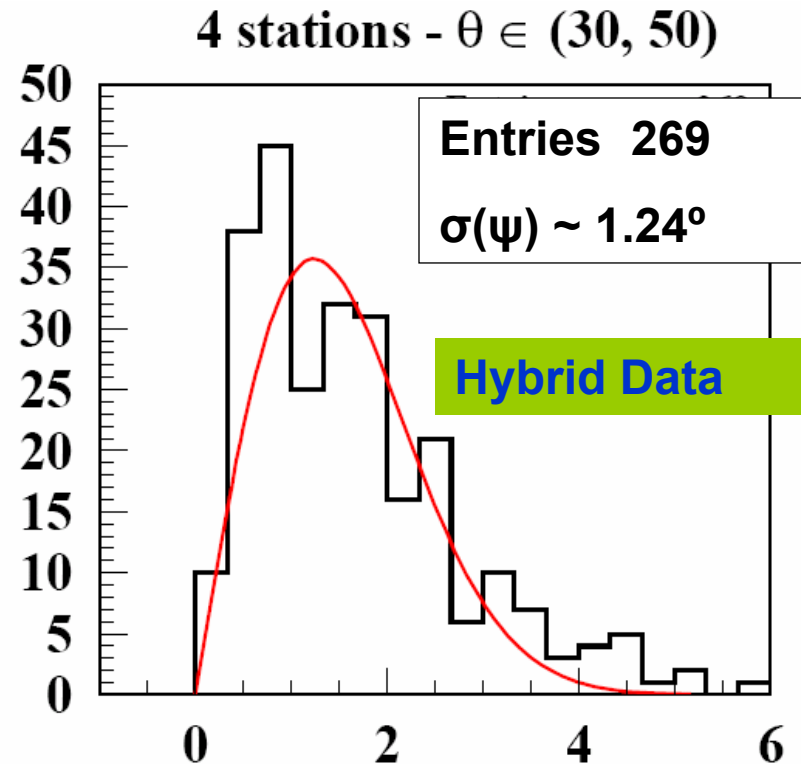
**Core position resolution:**  
 Hybrid: < 60 m                      Surface array: < 200 m



# Angular Resolution



Angle in laser beam /FD detector plane



Hybrid-SD only space angle difference

## Resolution using a centrally positioned laser

### Hybrid Angular resolution

(68% CL)

*0.6 degrees (mean)*

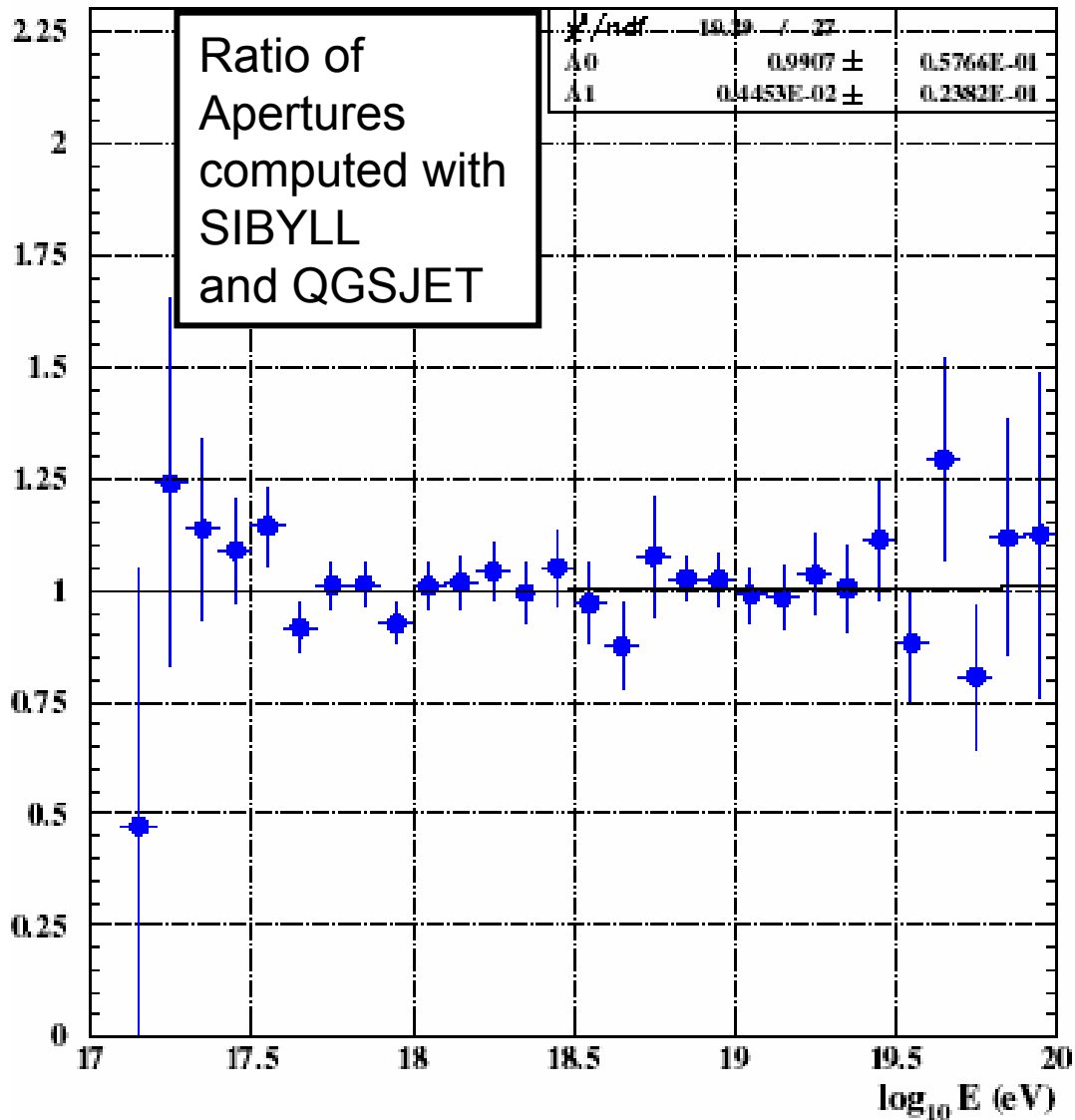
### Surface array Angular resolution (68% CL)

$< 2.2^\circ$  for 3 station events ( $E < 3 E_{\text{TeV}}$ ,  $\theta < 60^\circ$ )

$< 1.7^\circ$  for 4 station events ( $3 < E < 10 E_{\text{TeV}}$ )

$< 1.4^\circ$  for 5 or more station events ( $E > 10 E_{\text{TeV}}$ )

## Sensitivity of HiRes II aperture to shower model



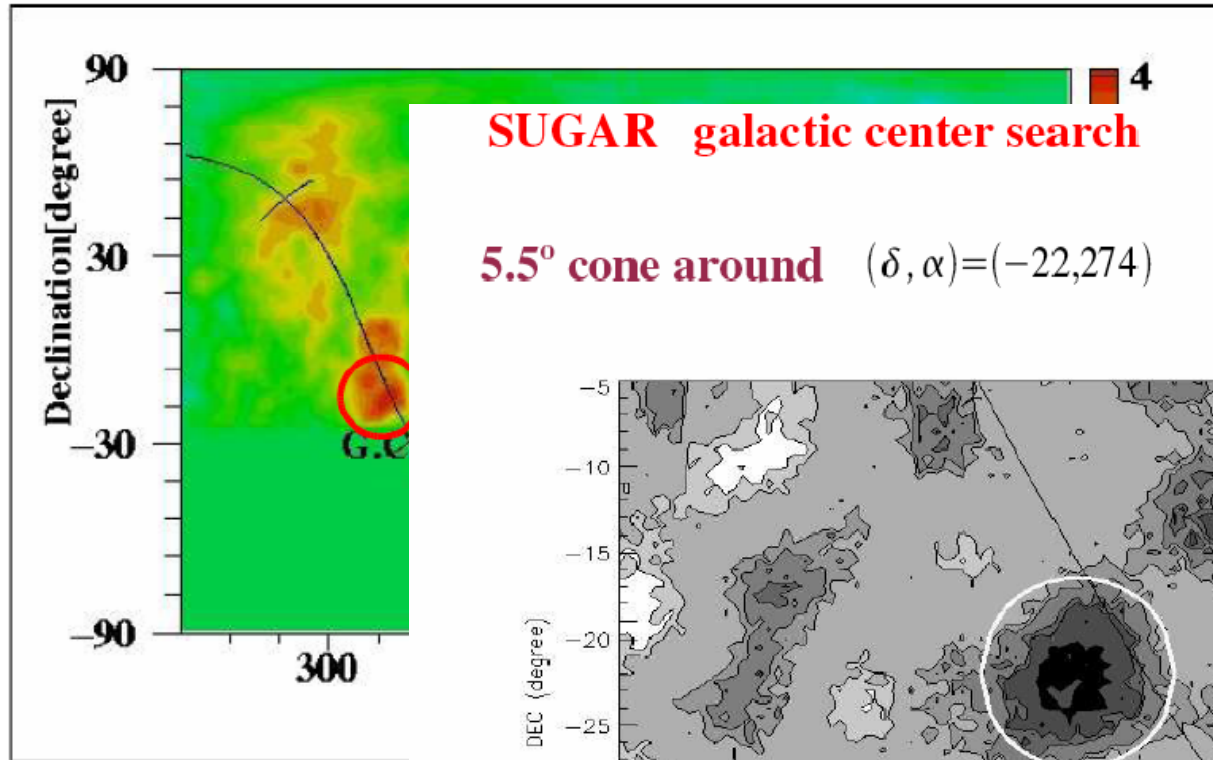
More statistics needed and up-dated model needs to be used.

Mass assumption has only been explored at  $\pm 5\%$  of an assumed proton fraction

# Arrival Direction Studies

## AGASA ANISOTROPIES ON 20° SCALES (10<sup>18</sup> – 10<sup>18.4</sup> eV)

$$\frac{\text{observed}}{\text{expected}} = \frac{506}{413.6} (+4.5\sigma) \quad \text{at } (\delta, \alpha) = (-15, 280) \quad \text{(22\% excess)}$$



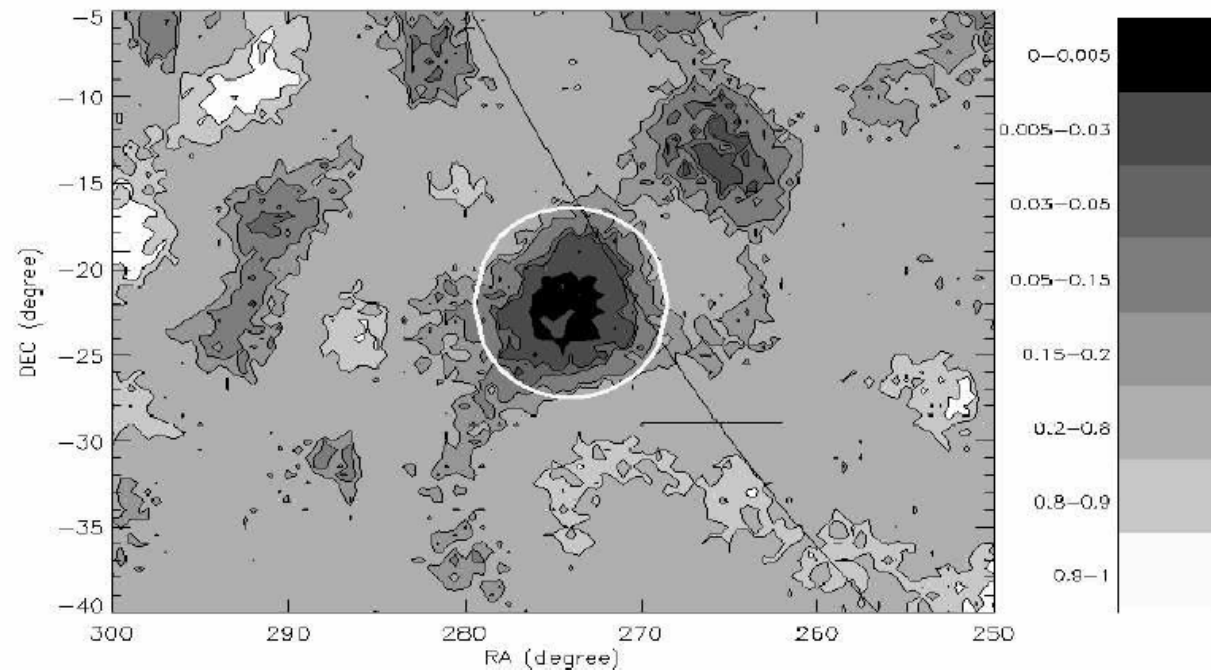
### SUGAR galactic center search

(10<sup>17.9</sup> – 10<sup>18.5</sup> eV)

5.5° cone around (δ, α) = (-22, 274)

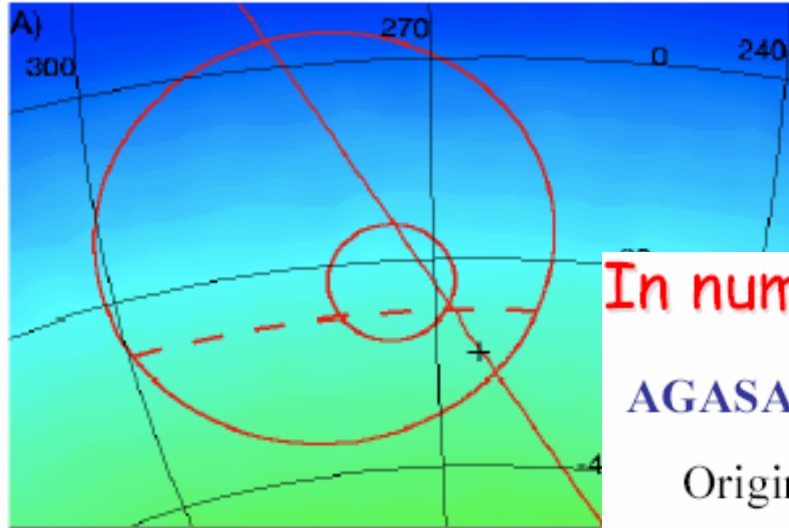
$$\frac{\text{observed}}{\text{expected}} = \frac{21.8}{11.8} (+2.9\sigma)$$

(85% excess)

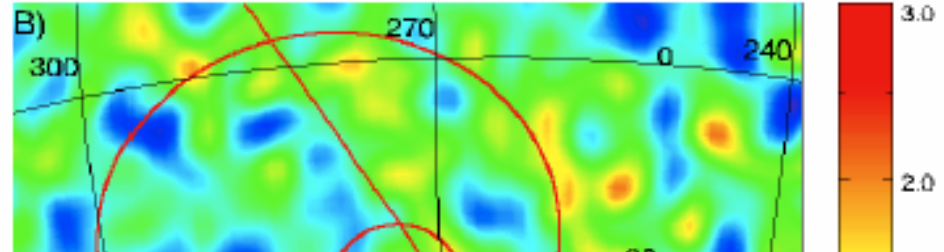


# Galactic center seen by Auger :

Coverage



Significance (1.5°)



In numbers :

## AGASA

Original Cuts (1.0 – 2.5 EeV)

top hat 20° 1155 / 1160.7

ratio =  $1.00 \pm 0.03$

(22% excess would give 1415 and a 7.5  $\sigma$  excess)

Enlarge energy range (0.8 – 3.2 EeV)

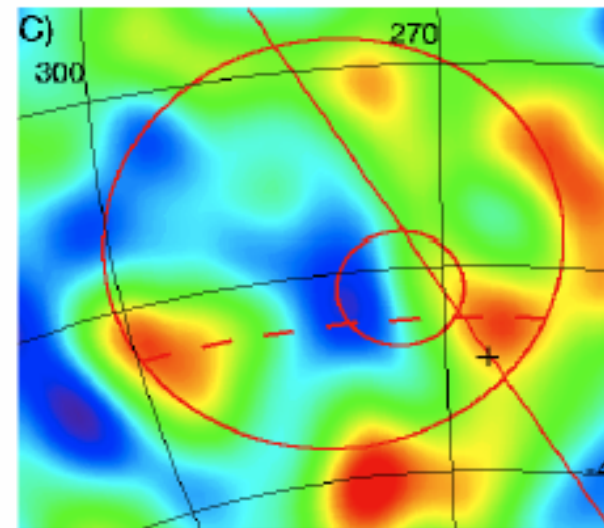
top hat 20° 1896 / 1853.06

## SUGAR (0.8 – 3.2 EeV)

top hat 5° 144 / 150.9

ratio =  $0.95 \pm 0.08$

(85% excess would give 279 and a 10.5  $\sigma$  excess)



Significance (3.7°)

Also looked for as a point source, no signal.

# Galactic center search (point source)

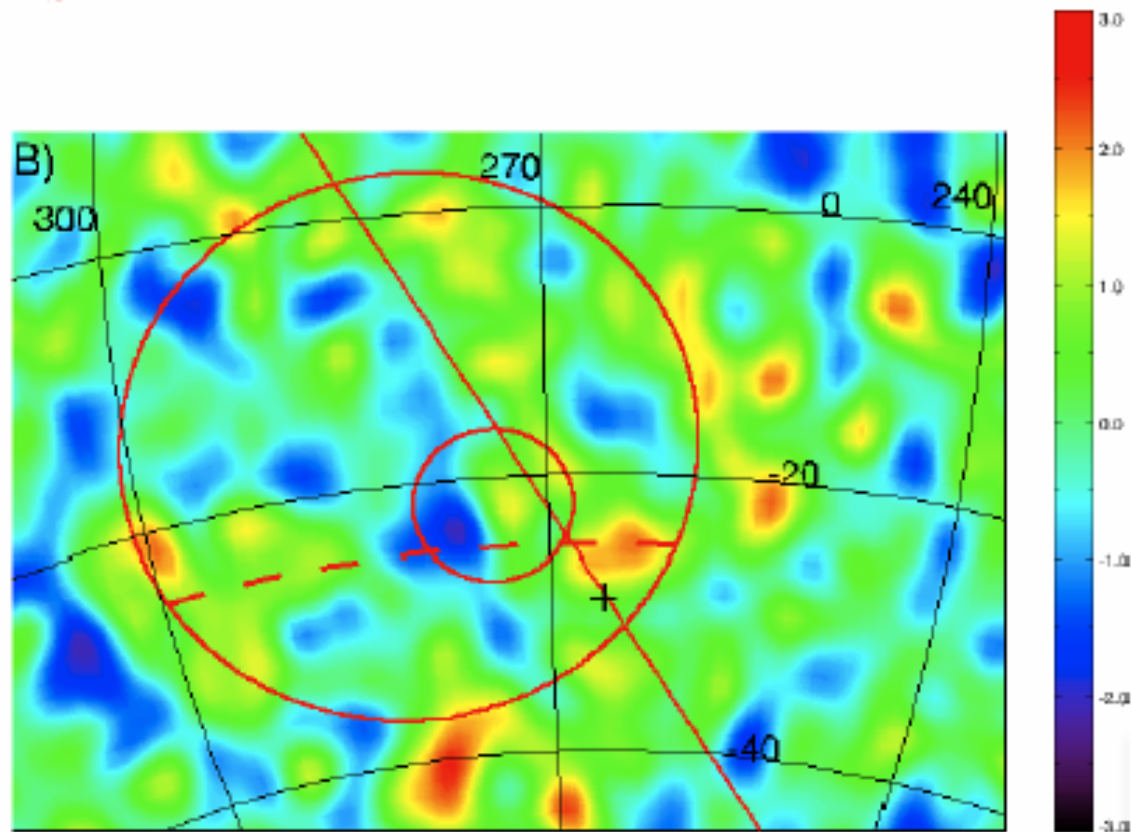
SD only:

Gaussian filtering 1.5 degree

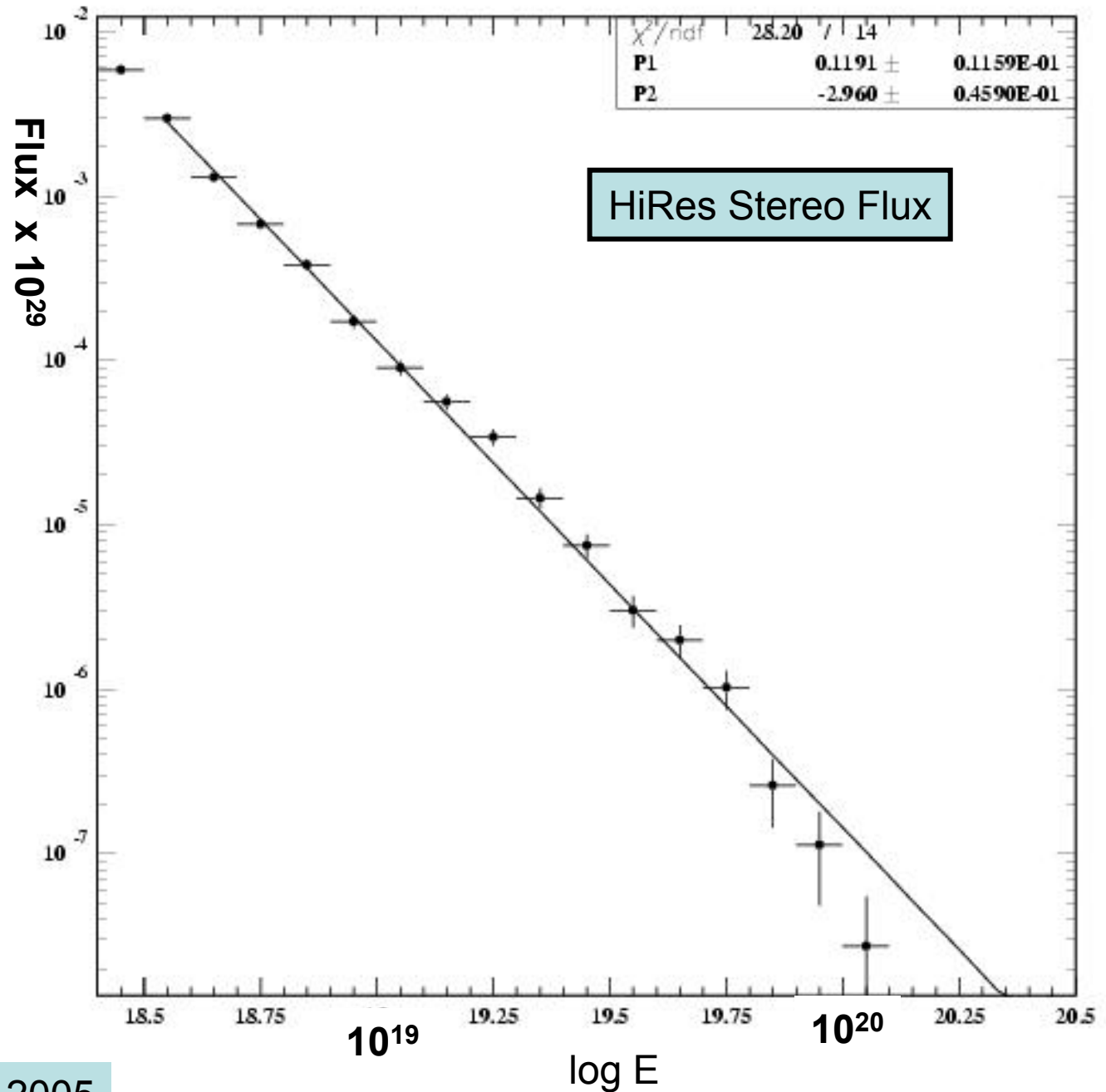
exp/obs 24.3/23.9

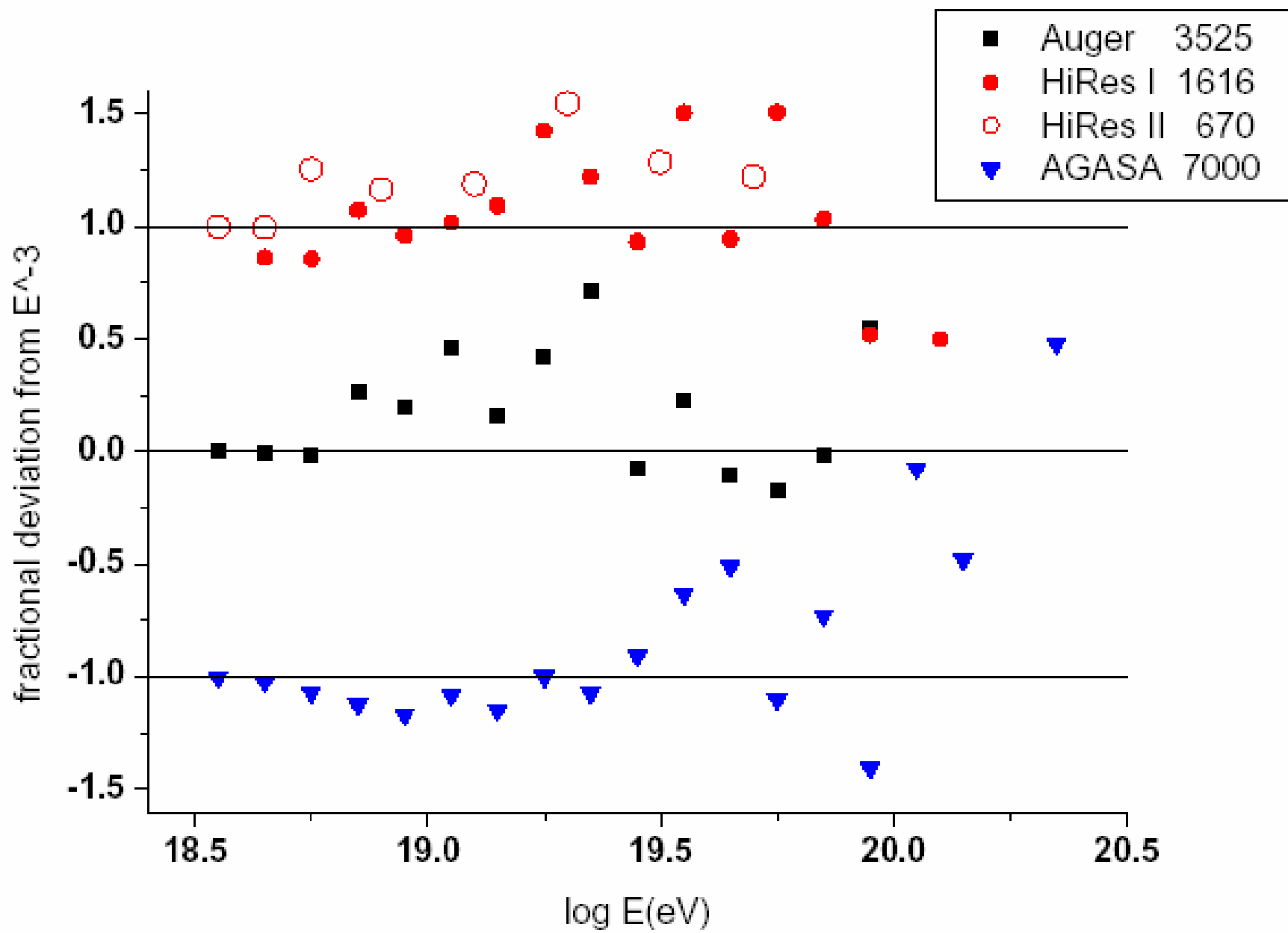
if  $\phi_S \propto \phi_{CR}$  then for  $0.8 \text{ EeV} < E < 3.2 \text{ EeV}$  :

$$\phi_S < 2.5 \xi \varepsilon \times 10^{-15} \text{ m}^{-2} \text{ s}^{-1} @ 95 \%$$



- Fit to power law.
- Single index gives poor  $\chi^2$
- Evidence for changing index





## Association with BL Lacs?

Initial claims by Tinyakov et al.

– but disputed by Evans et al and others

**217 HiRes Stereo events above 10 EeV**

**$\sigma = 0.4$  deg**, so that 68% of events would lie within  $\theta = 1.52 \sigma$

This is an impressive angular accuracy

Tinyakov et al. conclusion for  $m < 18$  confirmed

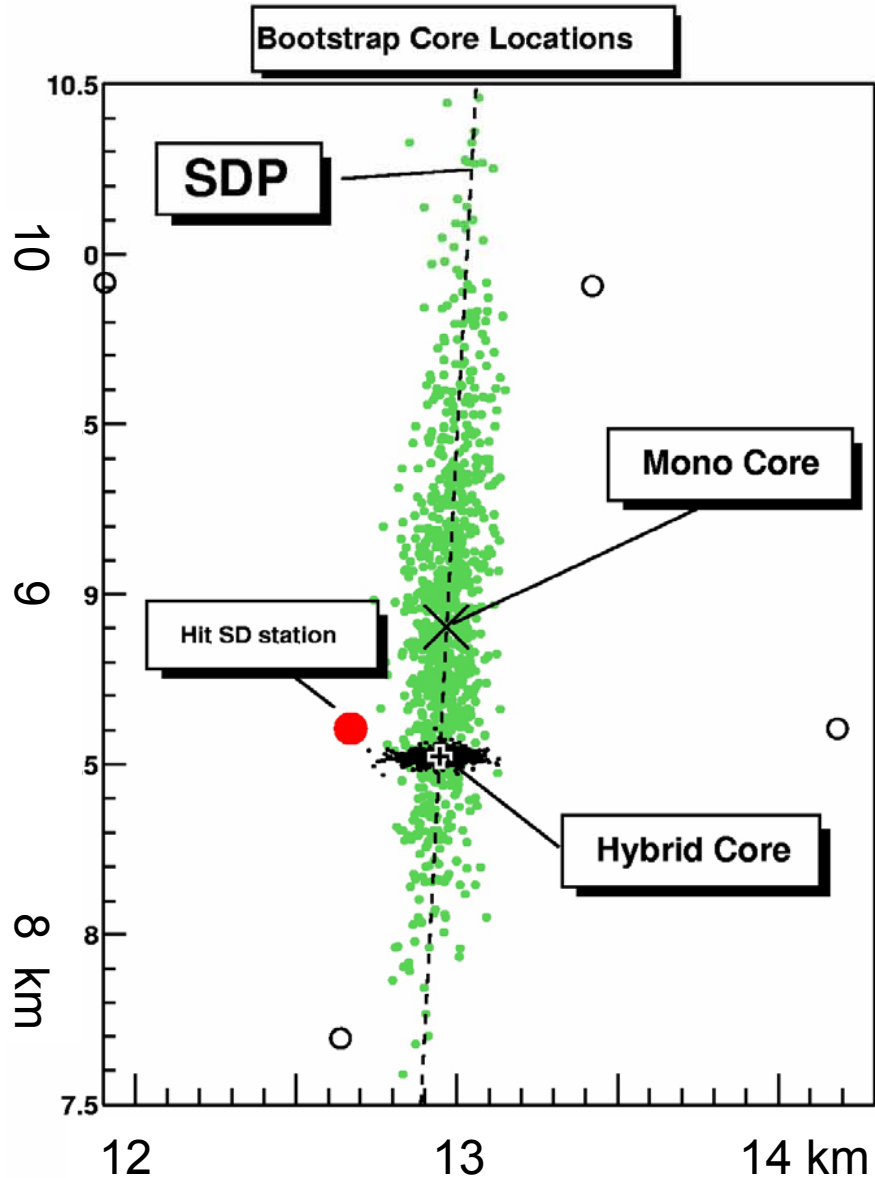
– but same data set of events and same 157 BL Lacs

**BUT for  $E > 40$  EeV, HiRes shows a deficit in the correlation**

Presumably primaries are neutral because of anticipated magnetic field deflections – worth looking at lower energies.



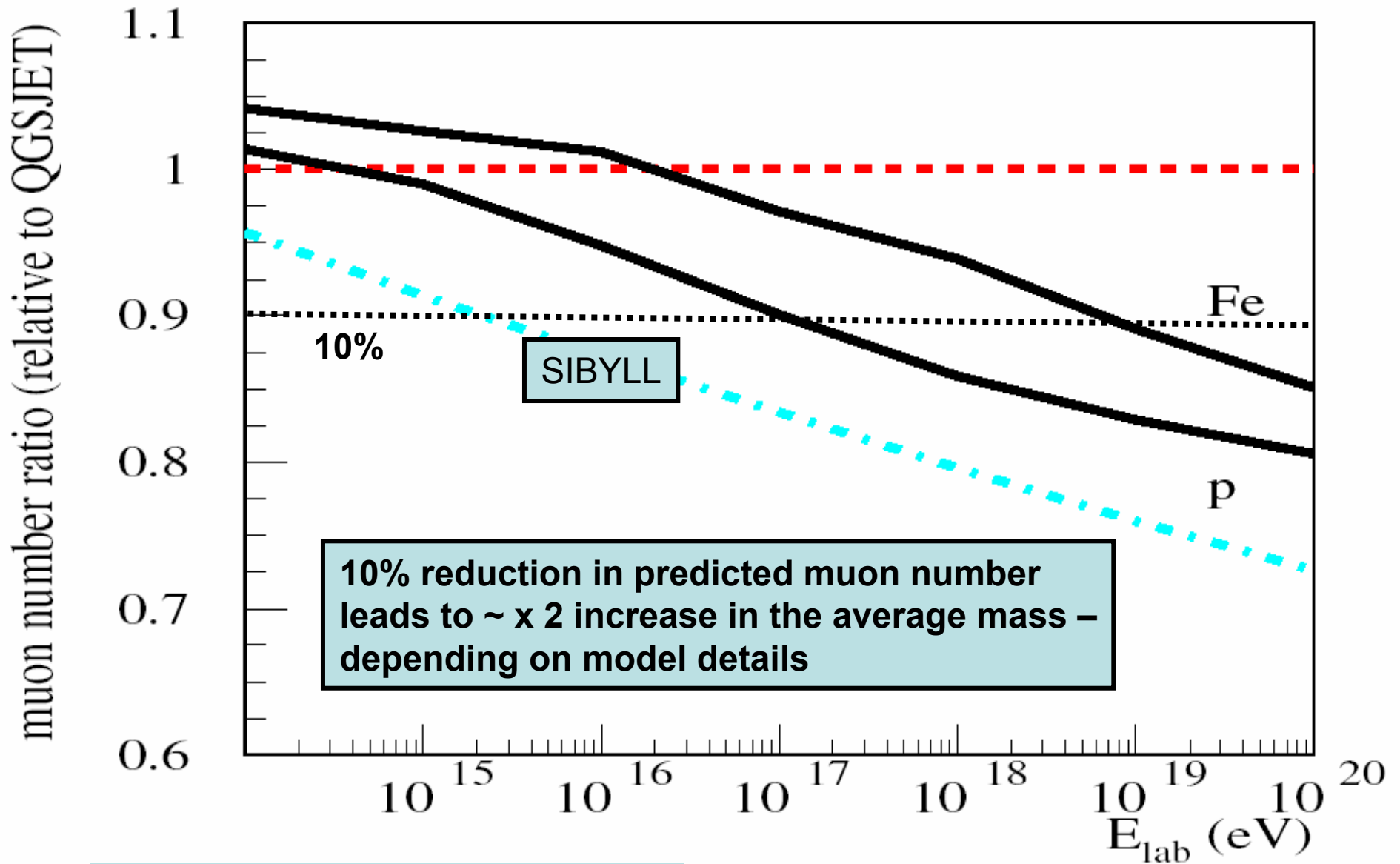
105573



**Hybrid events are equivalent to stereo-events and superior to monocular events**

**Observations with real showers confirm the results from Central Laser Facility**

# Muon Number Ratio for different models and masses



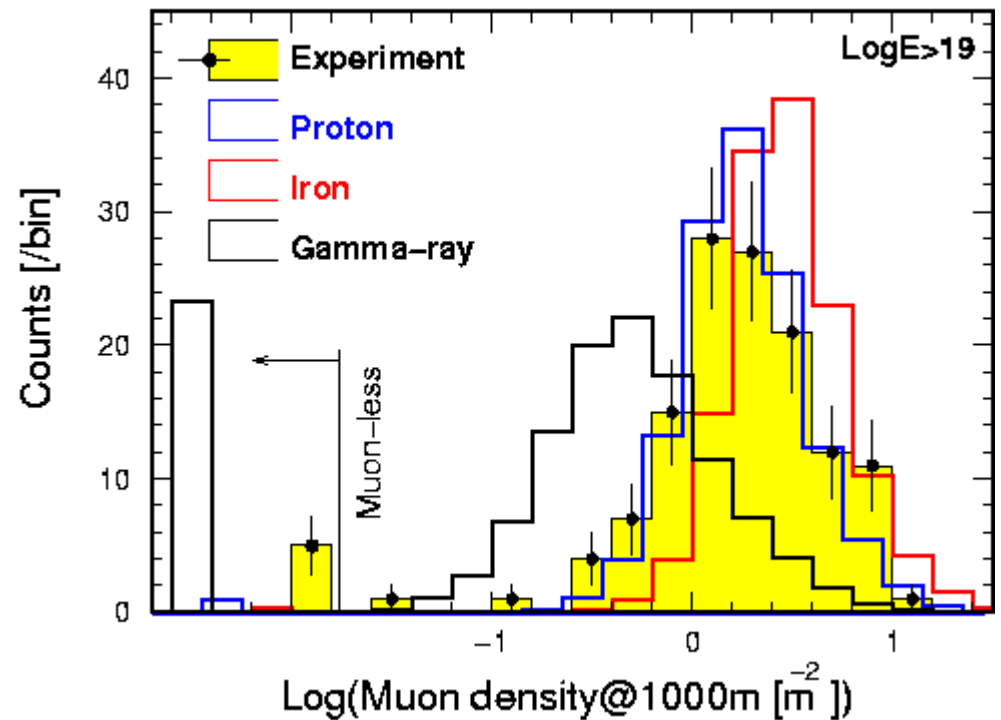
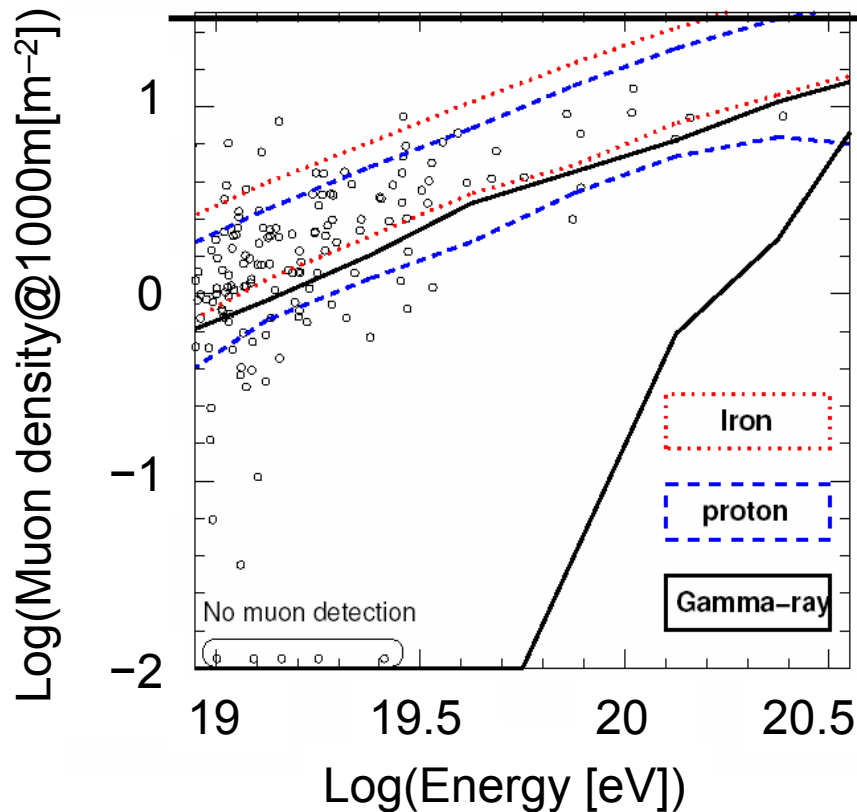
Heck and Ostapchenko: ICRC 2005

# Muon measurements with the AGASA array

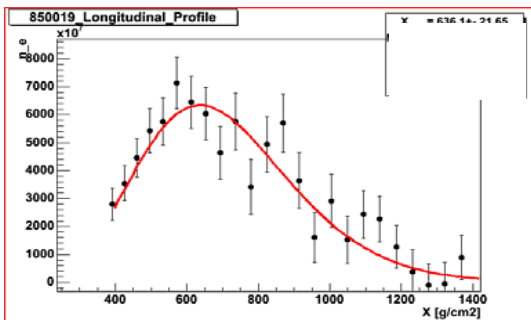
**Original Claim (2003):**

**“Consistent with proton dominant component” –**

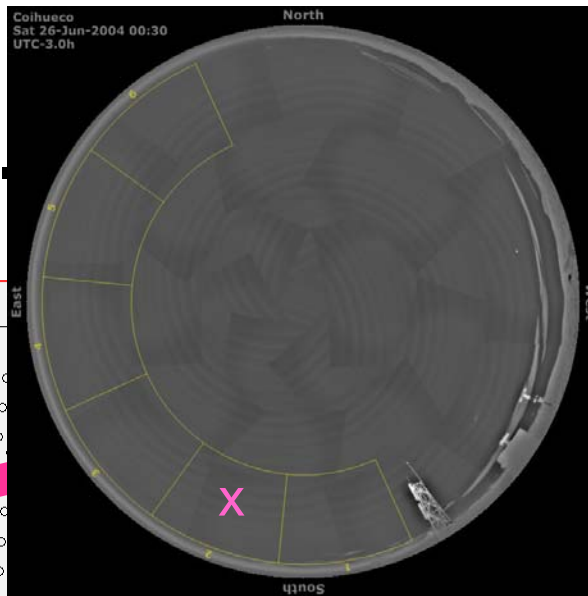
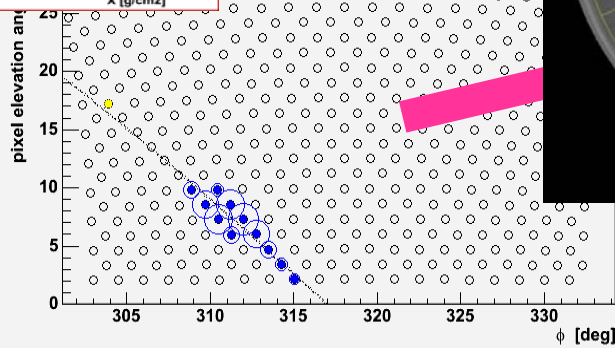
**must be revised**



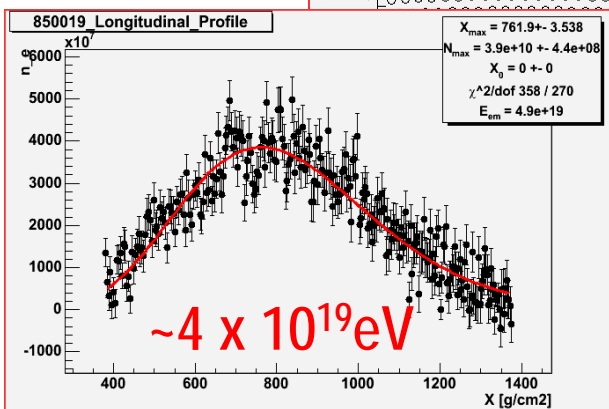
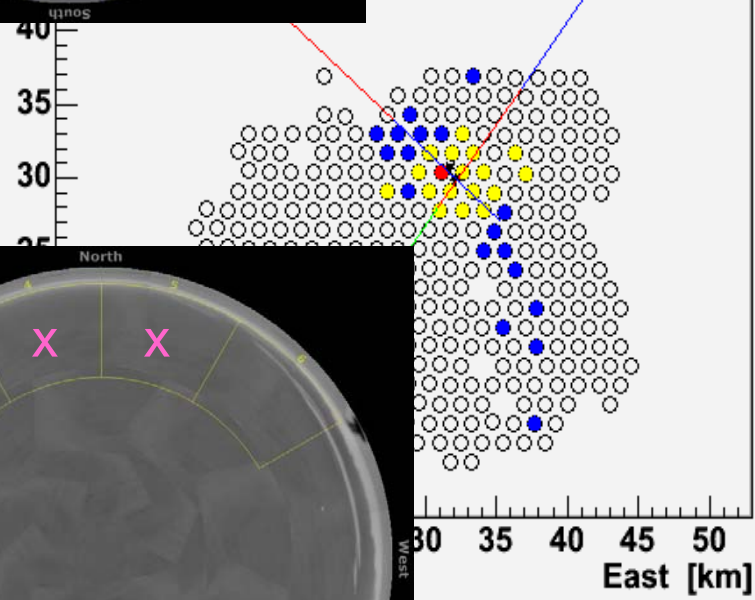
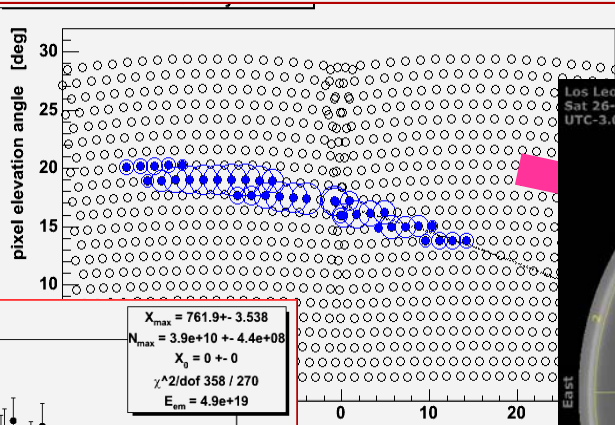
# Stereo

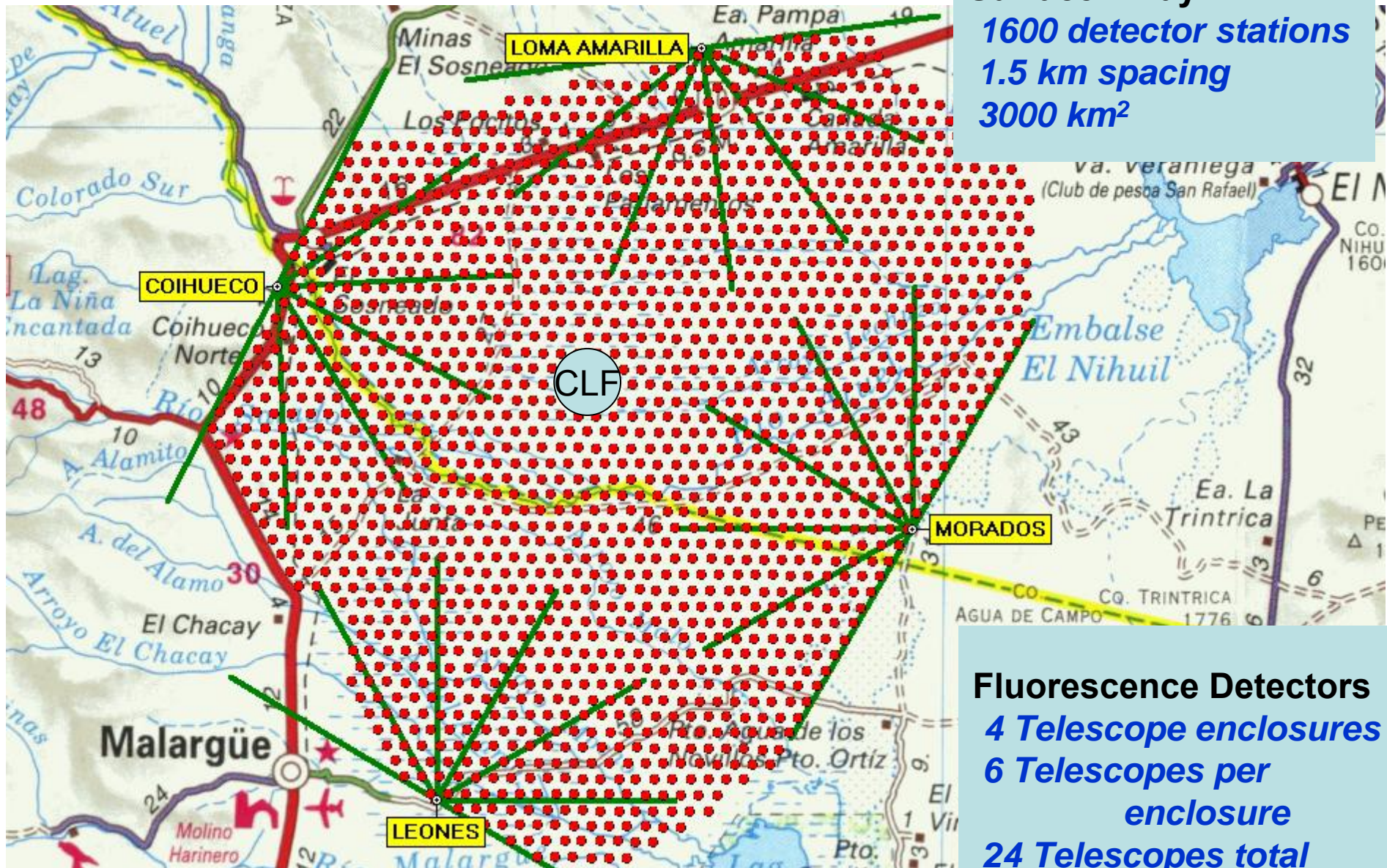


7 Eye Id: 4



850019



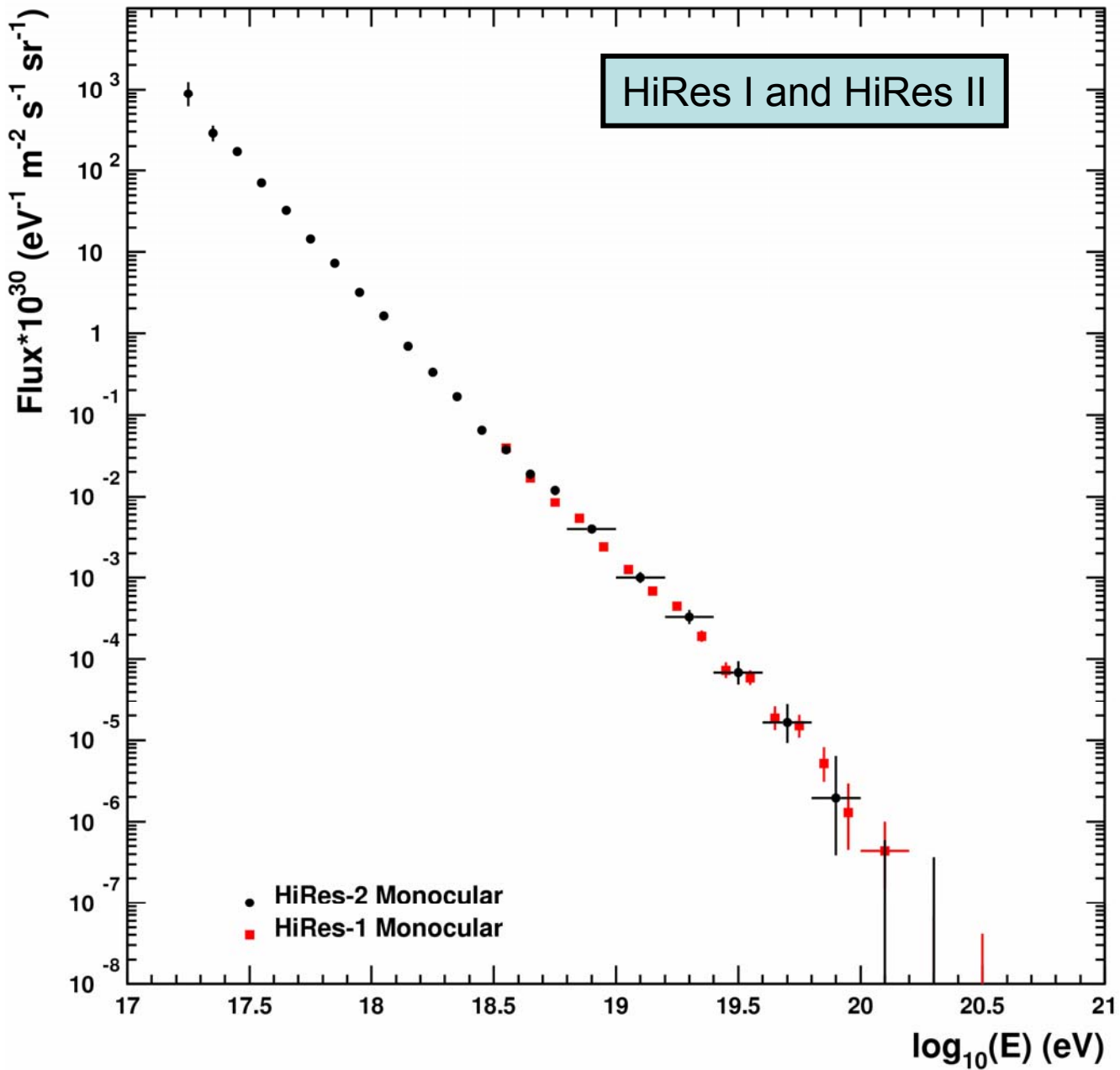


**Surface Array**

*1600 detector stations*  
*1.5 km spacing*  
*3000 km<sup>2</sup>*

**Fluorescence Detectors**

*4 Telescope enclosures*  
*6 Telescopes per enclosure*  
*24 Telescopes total*



# Geometrical Reconstruction

